

# Session II: Deep Learning Approaches for Face Anti-spoofing

**Host: Yaojie Liu**



# Outline

- Vanilla CNNs
- Patch-based CNN methods
- CNN methods with auxiliary supervisions
- GAN-based noise modeling
- Data augmentation

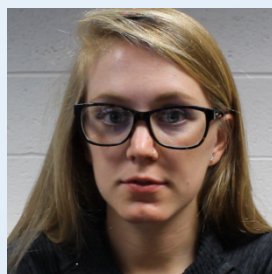


# Outline

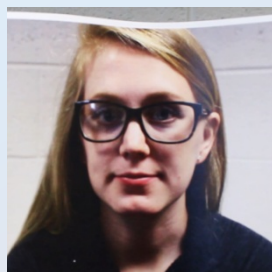
- **Vanilla CNN**
- Patch-based CNN methods
- CNN methods with auxiliary supervisions
- GAN-based noise modeling
- Data Augmentation

# Vanilla CNNs

CNN is trained to do a binary classification: live vs spoof



Live Face



Presentation  
Attack

**0**

**1**

Binary  
Supervision

# Vanilla CNNs

**Learn Convolutional Neural Network for Face Anti-Spoofing, ArXiv, 2014**

**Learning temporal features using LSTM-CNN architecture for face anti-spoofing, ACPR, 2015**

**Integration of image quality and motion cues for face anti-spoofing: A neural network approach, JVCI, 2016**

**An Original Face Anti-spoofing Approach using Partial Convolutional Neural Network, IPTA, 2016**

**Cross-database face antispoofing with robust feature representation. CCBR, 2016**

# Vanilla CNNs

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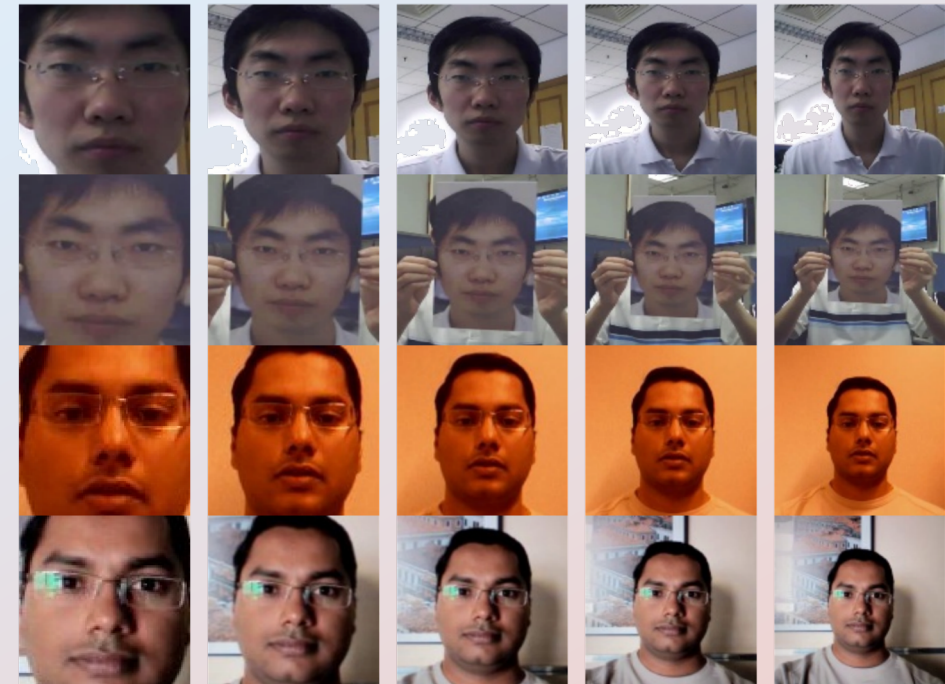
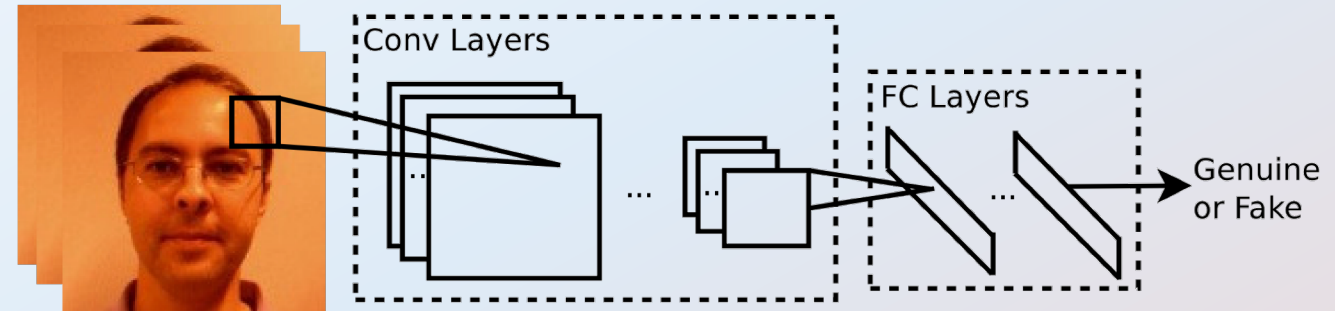
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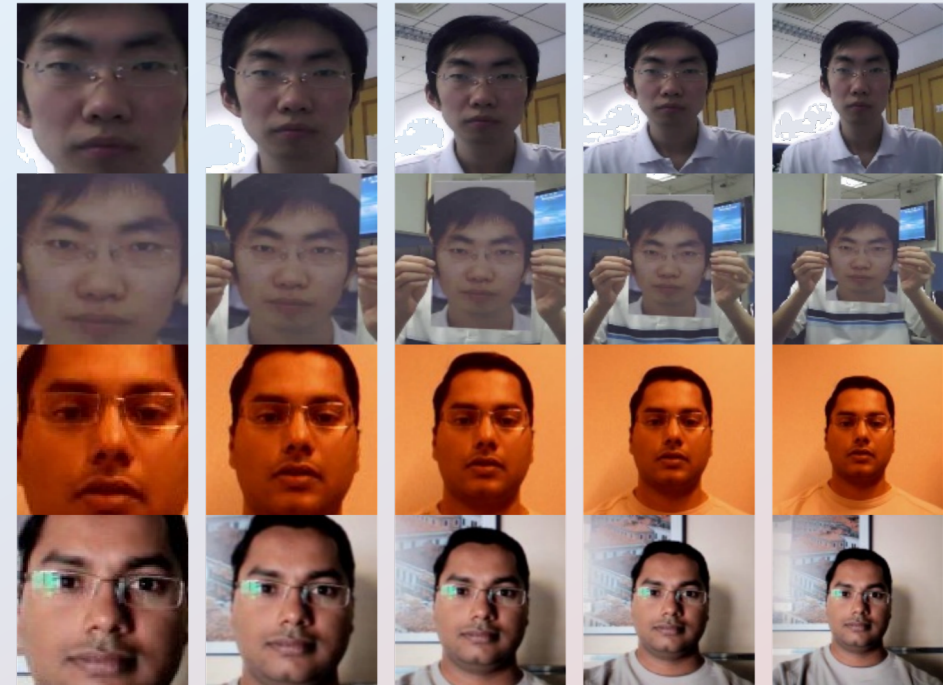
# Learn Convolutional Neural Network for Face Anti-spoofing

- CNN feature + SVM classifier
- Examine the influence of face scale



# Conclusion of Face Scales

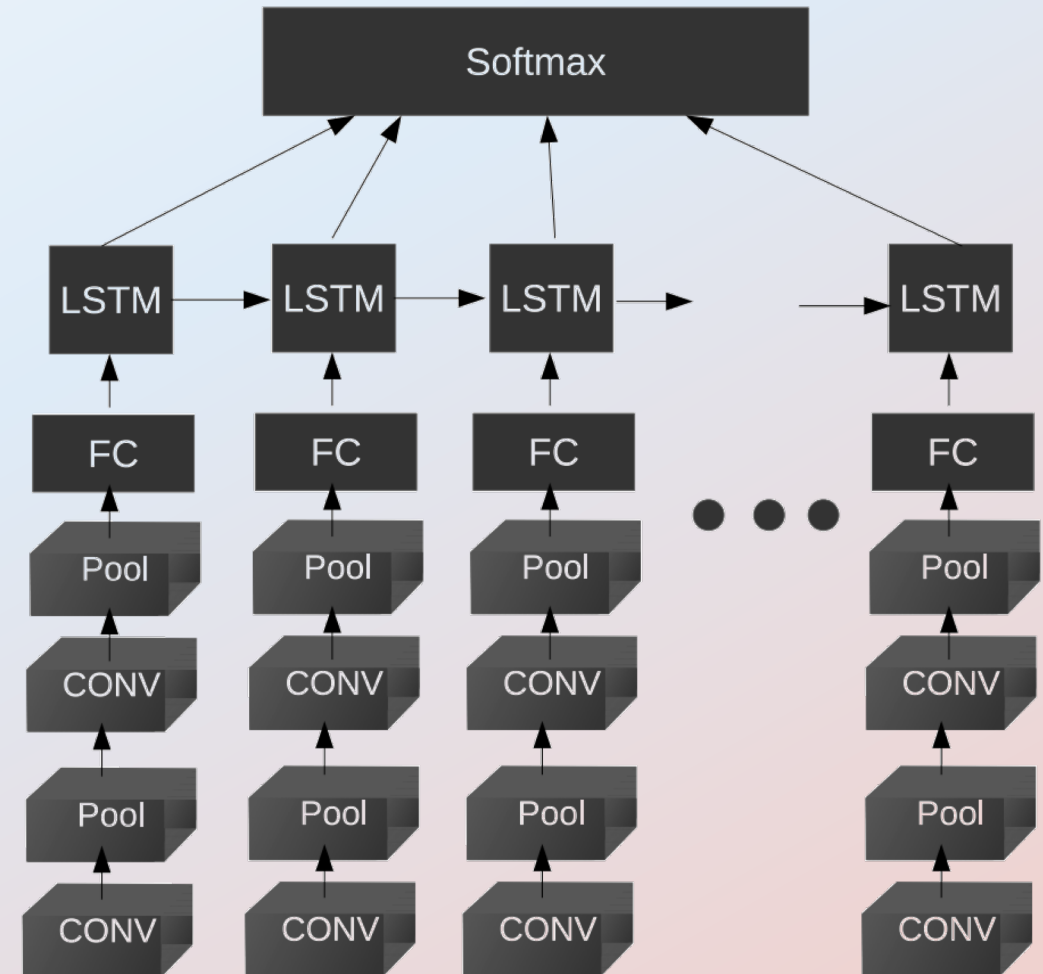
- Too small: very limited information  
→ worse performance
- Too big: too much information → worse performance
- Varies from case to case
  - CASIA is best at medium res.
  - Replay is best at the largest res.





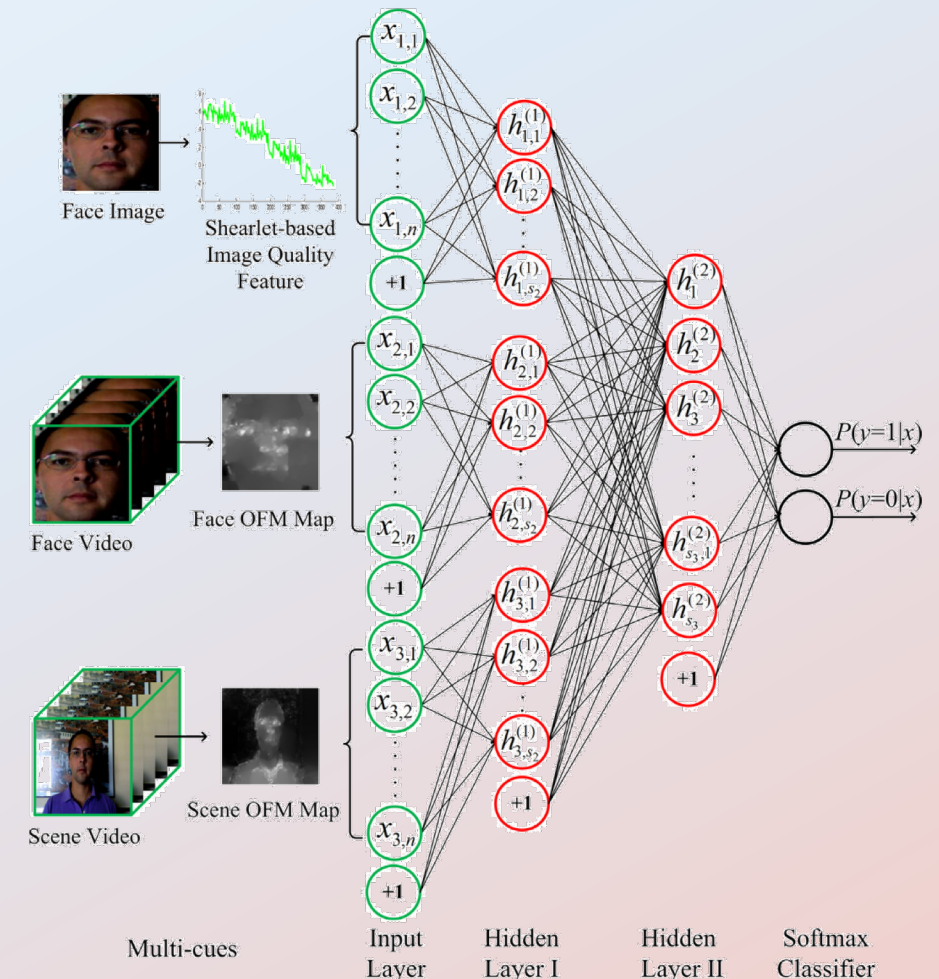
# Learning Temporal Features using LSTM-CNN Architecture for Face Anti-spoofing

- CNN + LSTM
- Consider temporal information



# Integration of Image Quality and Motion Cues for Face Anti-spoofing: A Neural Network Approach

- Use handcrafted features as input
  - Shearlet-based image quality
  - Face optical flow
  - Scene optical flow





# Experiment Results

| CASIA Dataset                | EER  | HTER |
|------------------------------|------|------|
| Color LBP <sup>[1]</sup>     | 6.2  | -    |
| CNN + SVM <sup>[2]</sup>     | -    | 6.25 |
| CNN + LSTM <sup>[3]</sup>    | -    | 5.93 |
| Multi-cue CNN <sup>[4]</sup> | 5.83 | -    |

| Replay Dataset               | EER | HTER |
|------------------------------|-----|------|
| Color LBP <sup>[1]</sup>     | 0.4 | 2.9  |
| CNN + SVM <sup>[2]</sup>     | -   | 2.68 |
| CNN + LSTM <sup>[3]</sup>    | -   | -    |
| Multi-cue CNN <sup>[4]</sup> | -   | 0    |

- [1] Boulkenafet et. al., Face antispoofing based on color texture analysis. ICIP 2015  
[2] Yang et. al., Learn Convolutional Neural Network for Face Anti-Spoofing. arXiv 2014.  
[3] Xu et. al., Learning temporal features using LSTM-CNN architecture for face anti-spoofing. ACPR 2015.  
[4] Feng et. al., Integration of image quality and motion cues for face anti-spoofing: A neural network approach. JVCI 2016.

# Summary

- Fusing inputs (scale, handcrafted features, etc.) can help
- Improve the overall performance compared to non-CNN methods
- Binary classifier might lead to overfitting

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- **Patch-based CNN methods**
- CNN methods with auxiliary supervisions
- GAN-based noise modeling
- Data Augmentation

# Patch-based CNNs

**Face Anti-Spoofing Using Patch and Depth-Based CNNs, IJCB, 2017**

**On the Learning of Deep Local Features for Robust Face Spoofing Detection, SIBGRAPI, 2018**

**Face Anti-Spoofing: Model Matters, So Does Data, CVPR, 2019**

# Patch-based CNNs

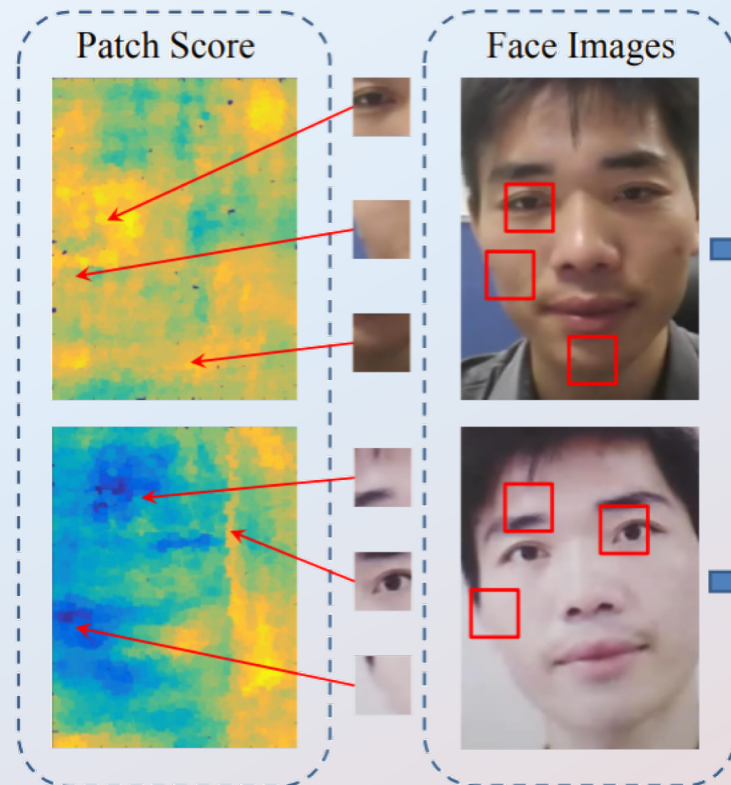
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# Motivation

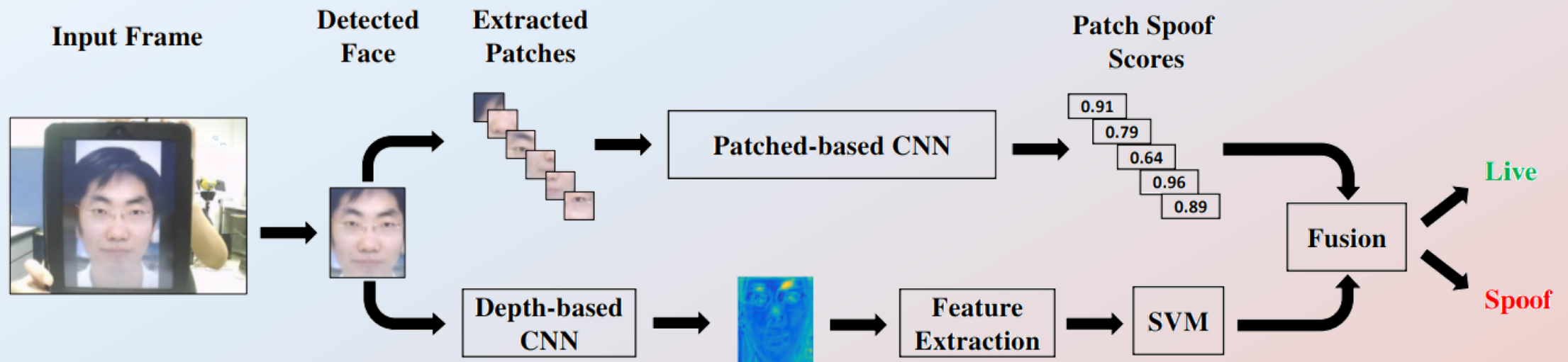
- Prevent overfitting
  - Increase the number of training samples





# Patch CNN

- Input face: RGB+HSV, patch size 96\*96
- Patch CNN: classify each patch as a live or spoof patch
- Fuse the score by average



# Experiment Results

| CASIA Dataset                        | EER  | HTER |
|--------------------------------------|------|------|
| Color LBP <sup>[1]</sup>             | 6.2  | -    |
| CNN + SVM <sup>[2]</sup>             | -    | 6.25 |
| CNN + LSTM <sup>[3]</sup>            | -    | 5.93 |
| Multi-cue CNN <sup>[4]</sup>         | 5.83 | -    |
| Patch CNN + Depth CNN <sup>[5]</sup> | 2.67 | 2.27 |

| Replay Dataset                        | EER  | HTER |
|---------------------------------------|------|------|
| Color LBP <sup>[1]</sup>              | 0.4  | 2.9  |
| CNN + SVM <sup>[2]</sup>              | -    | 2.68 |
| CNN + LSTM <sup>[3]</sup>             | -    | -    |
| Multi-cue CNN <sup>[4]</sup>          | -    | 0    |
| Patch CNN + Depth CNN <sup>[5]*</sup> | 0.79 | 0.72 |

\* Only use tight cropped face

- [1] Boulkenafet et. al., Face antispoofing based on color texture analysis. ICIP 2015  
 [2] Yang et. al., Learn Convolutional Neural Network for Face Anti-Spoofing. arXiv 2014.  
 [3] Xu et. al., Learning temporal features using LSTM-CNN architecture for face anti-spoofing. ACPR 2015.  
 [4] Feng et. al., Integration of image quality and motion cues for face anti-spoofing: A neural network approach. JVCI 2016.  
 [5] Youssef Atoum, Yaojie Liu, Amin Jourabloo, and Xiaoming Liu, Face anti-spoofing using patch and depth-based CNNs. IJCB 2017.

# Patch-based CNNs

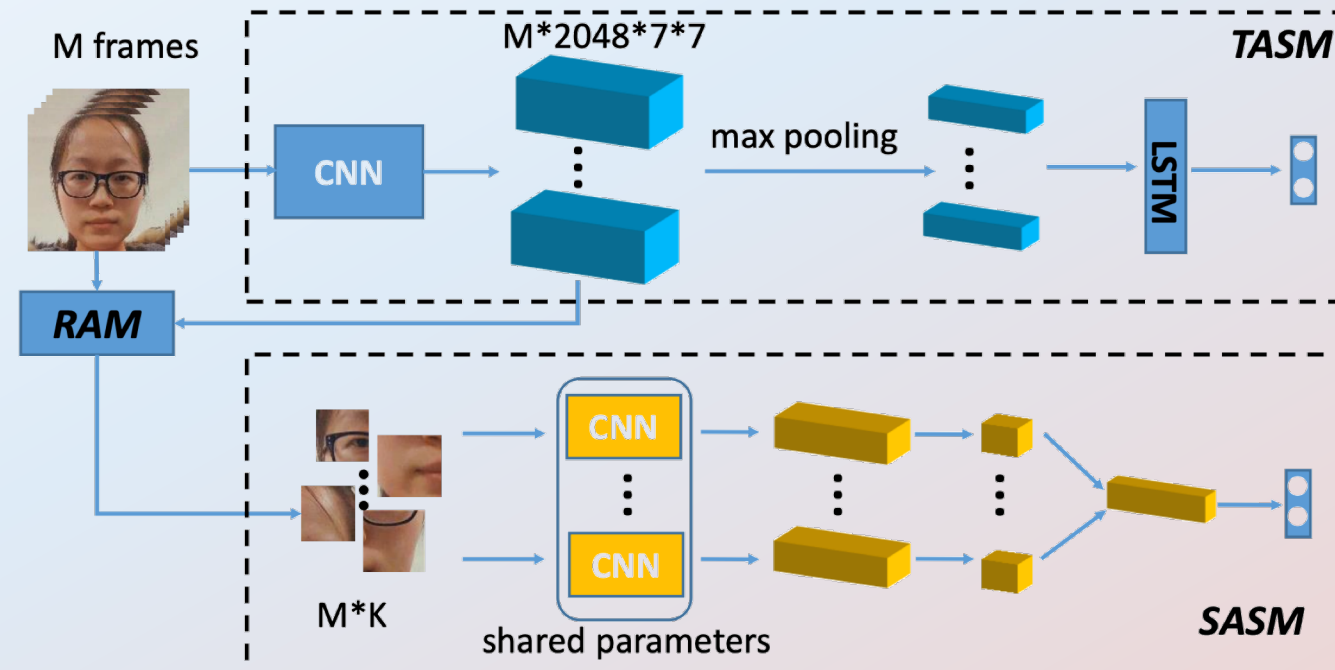
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# STASN

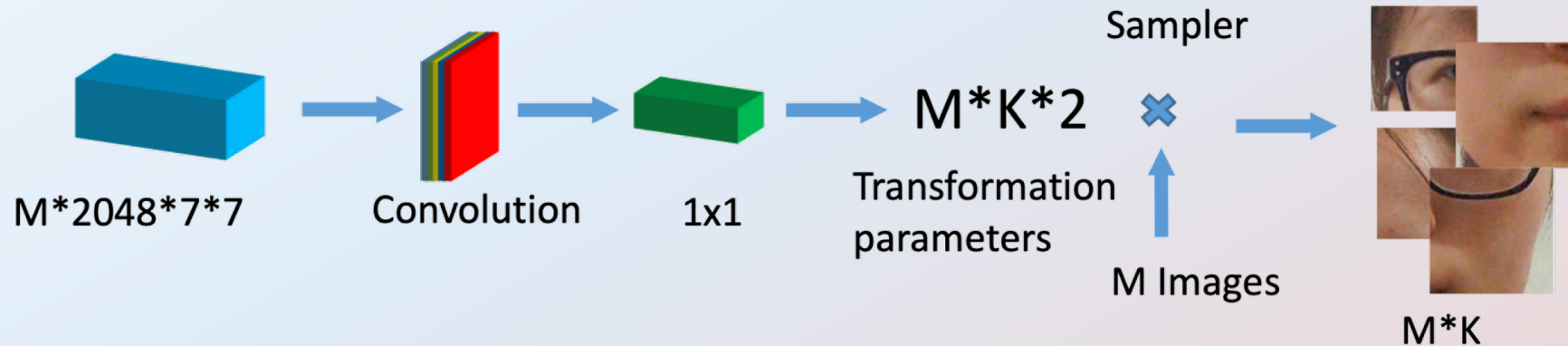
- Temporal Anti-Spoofing Module (TASM): conv-LSTM
- Region Attention Module (RAM): locating the discriminative and significant sub-regions
- Spatial Anti-Spoofing Module (SASM): patch CNN



# Region Attention Module (RAM)

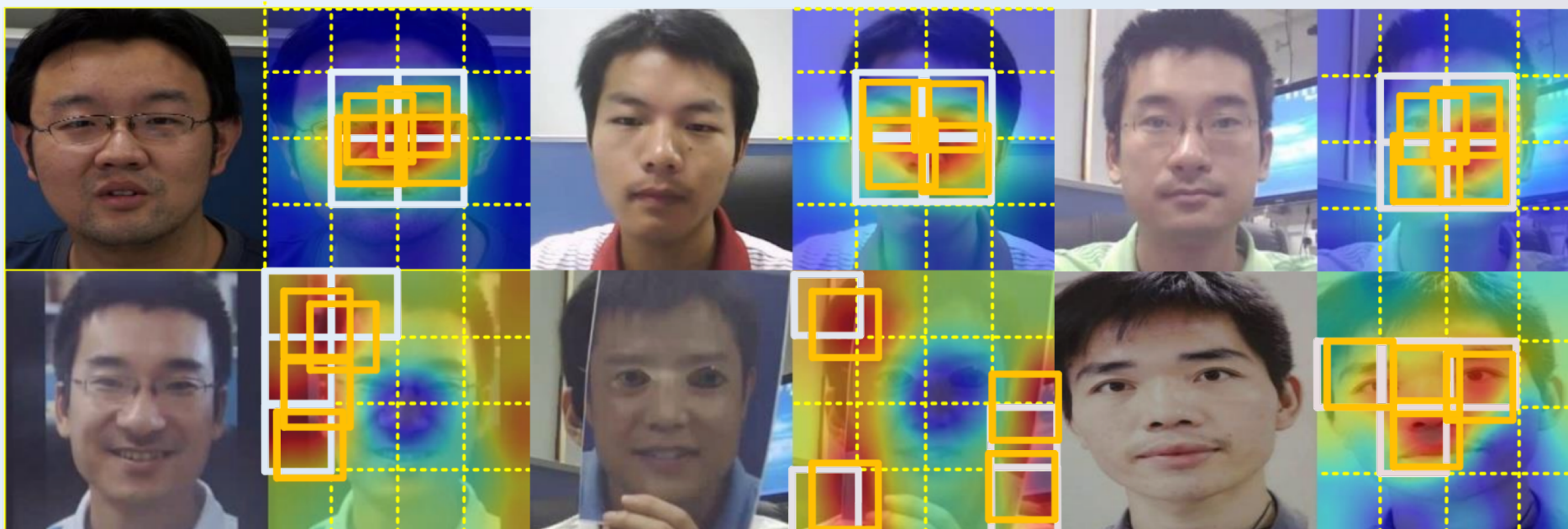
Locates the discriminative and significant sub-regions

- RAM output  $2 \times K$  parameters: offsets and translation of  $K$  patches



# Examples of Attention

- Live attentions are on face
- Spoof attentions are diverse





# Drawbacks

- Trade-off between efficiency and performance (more patches or less?)
- Not end-to-end training
- Patch scale



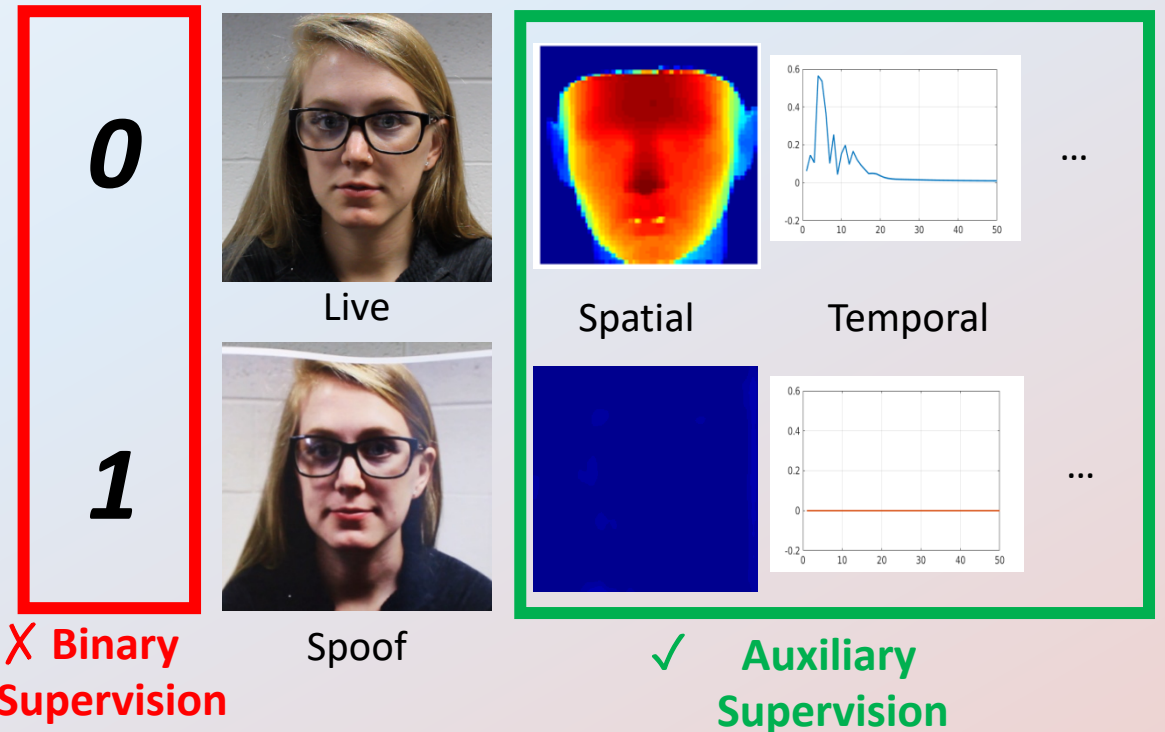
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# CNN Methods with Auxiliary Supervisions

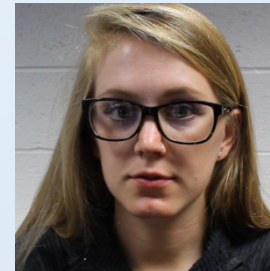
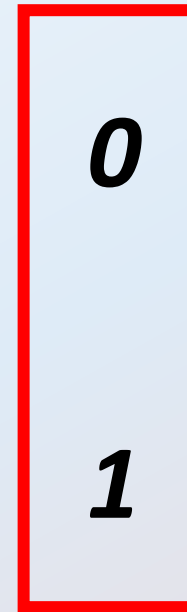
**Auxiliary information:** signals/biometric features with distinctive difference between live and spoof

- Depth map
- Heart beat signal (rPPG)
- Optical flow
- ...

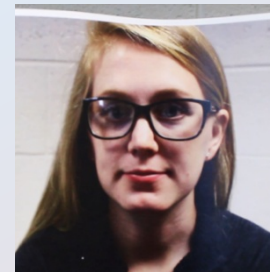


# Why Auxiliary Supervision?

- Provide specific attention for CNN
- Make CNN explainable

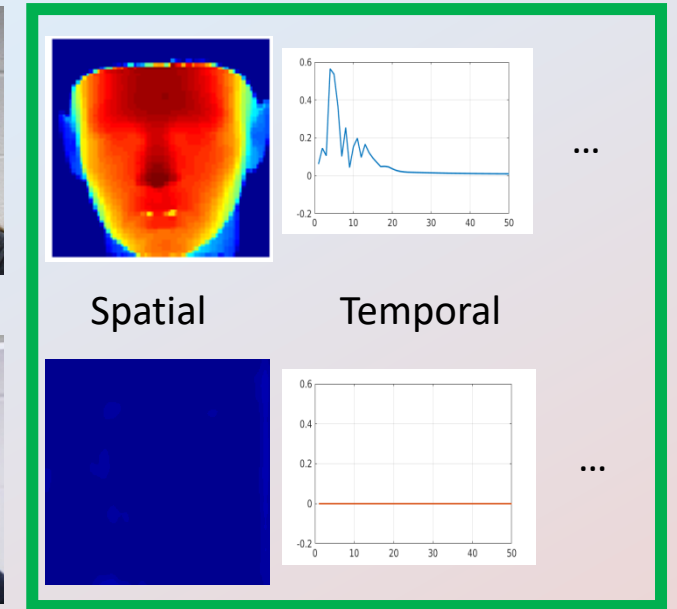


Live



Spoof

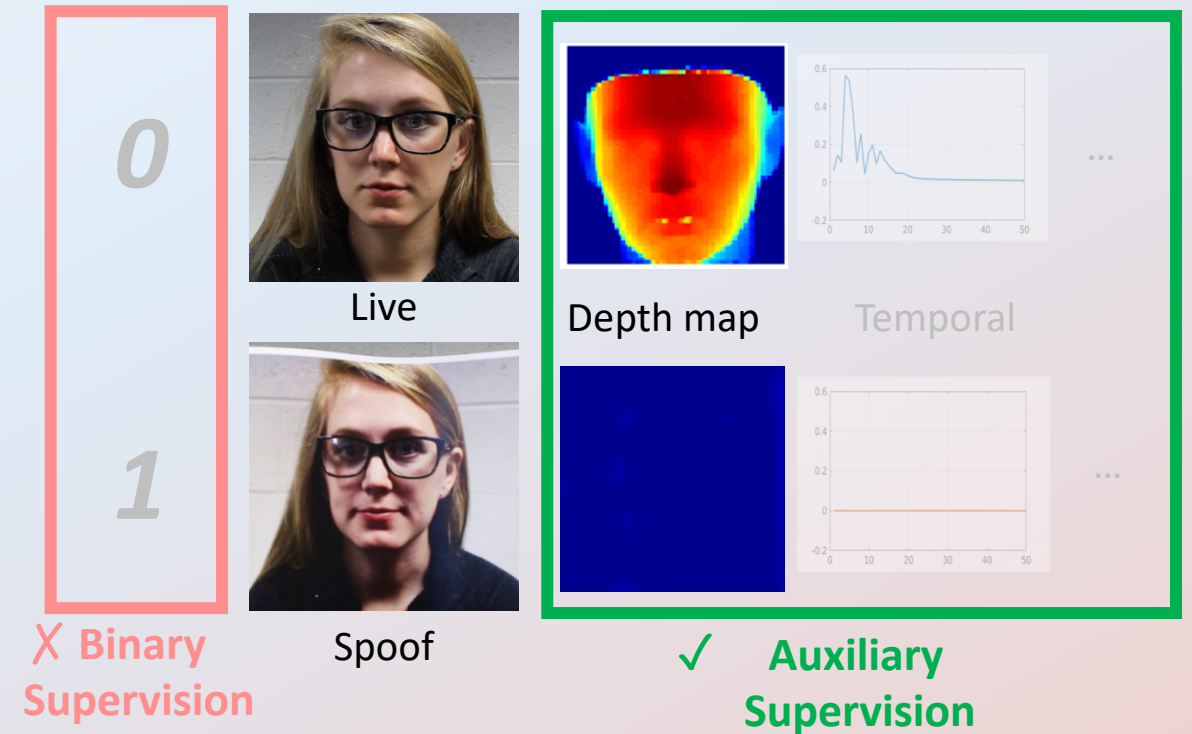
✗ Binary  
Supervision



✓ Auxiliary  
Supervision

# Depth Map

- Provide specific attention for CNN
- Make CNN explainable



# Methods using Depth Map

**Face anti-spoofing using patch and depth-based CNNs.** IJCB 2017.

**Learning deep models for face anti-spoofing: binary or auxiliary supervision.** *CVPR 2018.*

**Face de-spoofing: anti-spoofing via noise modeling.** *ECCV 2018.*

**Exploiting temporal and depth information for multi-frame face anti-spoofing,** arXiv 2019

**Aurora guard: real-time face anti-spoofing via light reflection,** arXiv 2019

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**Deep tree learning for zero-shot face anti-spoofing.** CVPR 2019

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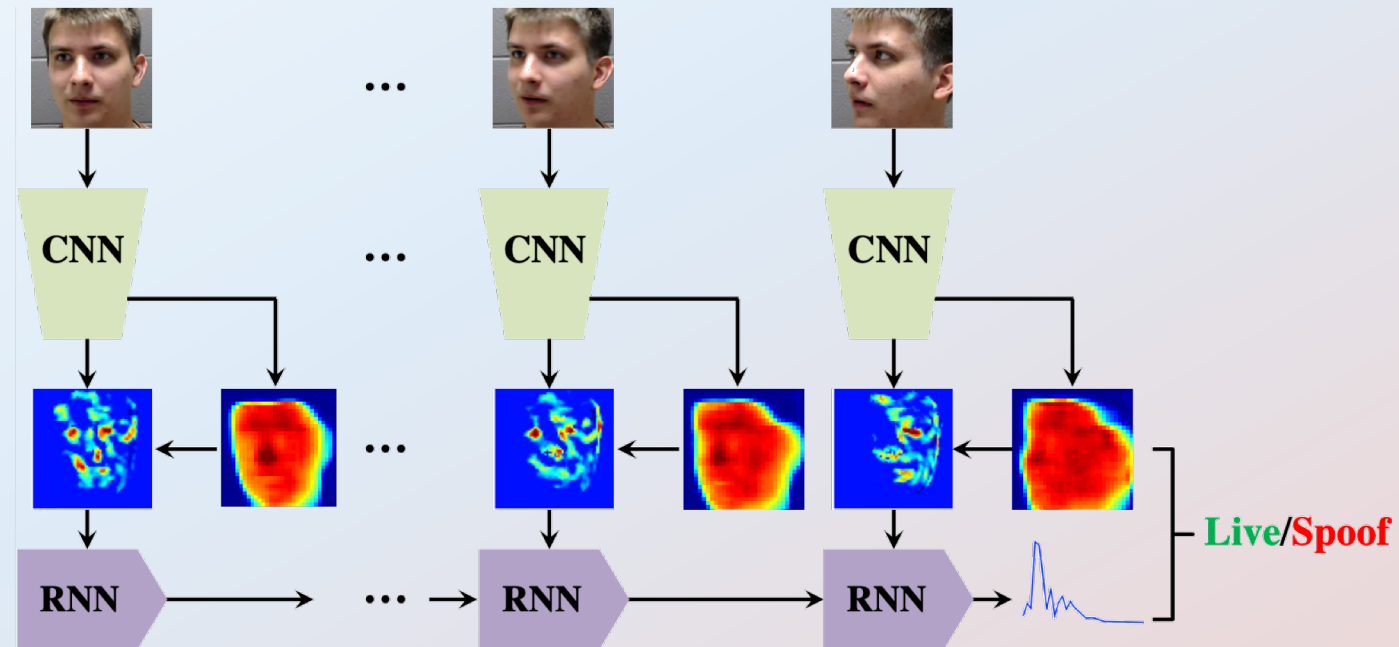
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# Overall Architecture

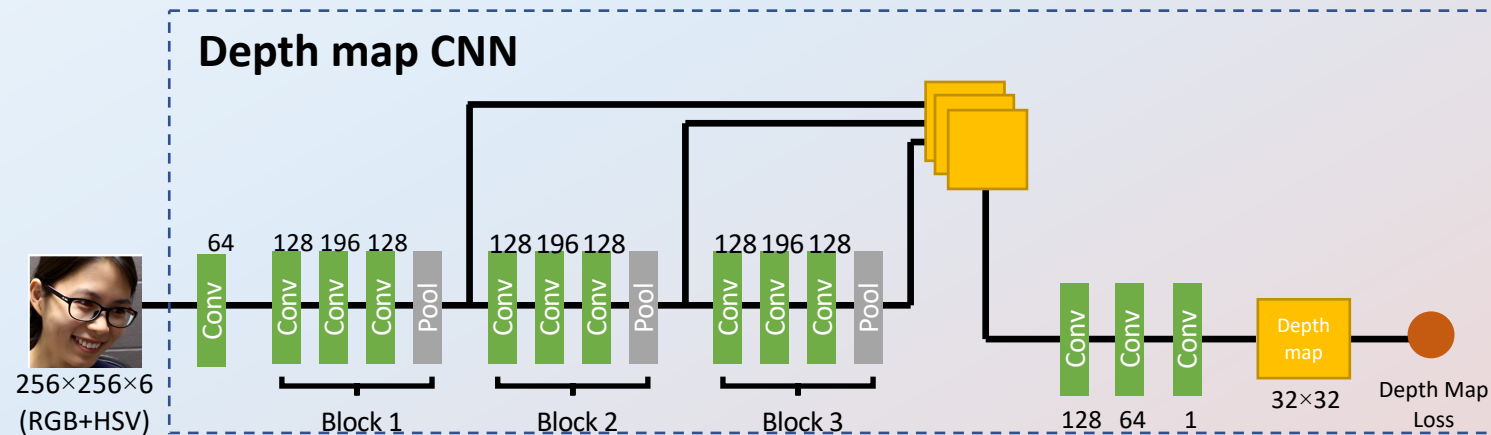
- Spatial supervision: depth map
- Temporal supervision: rPPG signal





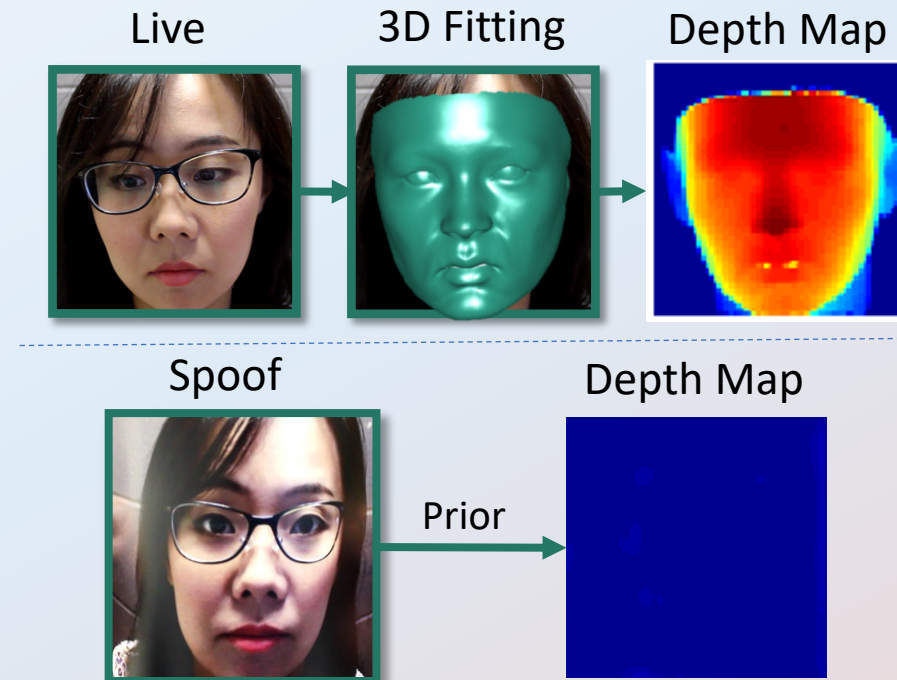
# Depth Map CNN

- RGB+HSV as input
- Fully convolutional network
- Short-cut connection to fuse multi-scale features
- Depth map regression loss:  $\mathcal{L}_{depth} = \|D_{pred} - D_0\|_F^1$



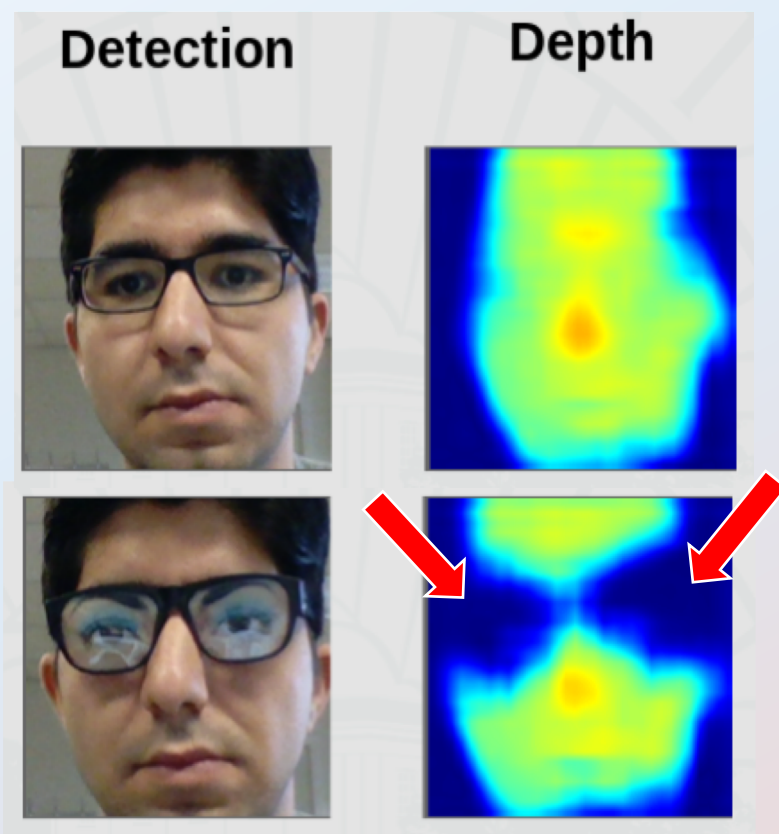
# How to Obtain Depth Map Label?

- Depth for live faces: 3D face fitting\* + z-buffering rendering
- Depth for spoof faces: zero maps



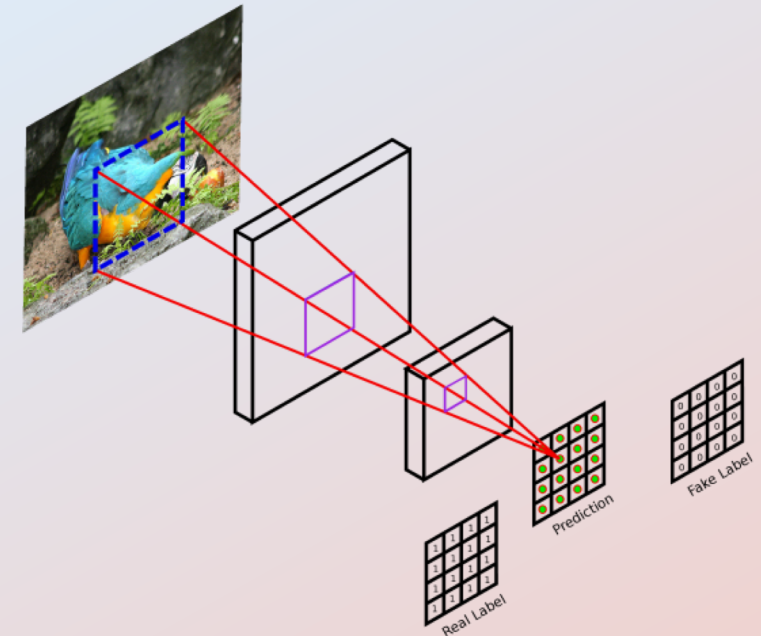
# Explainability

- Indicate the regions of spoof material



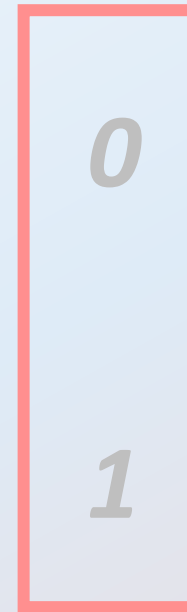
# Why Depth Maps Work?

- Local response (same rationale with Patch GAN)
- More elegant than patch-based CNNs (even multiscales!)
- Depth map vs. zero/one map
  - Focus on the face region
  - Noisy background may lead to a worse convergence

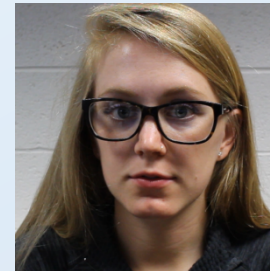


# rPPG Temporal Signal

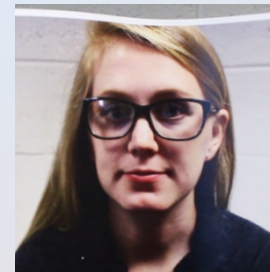
- Provide specific attention for CNN
- Make CNN explainable



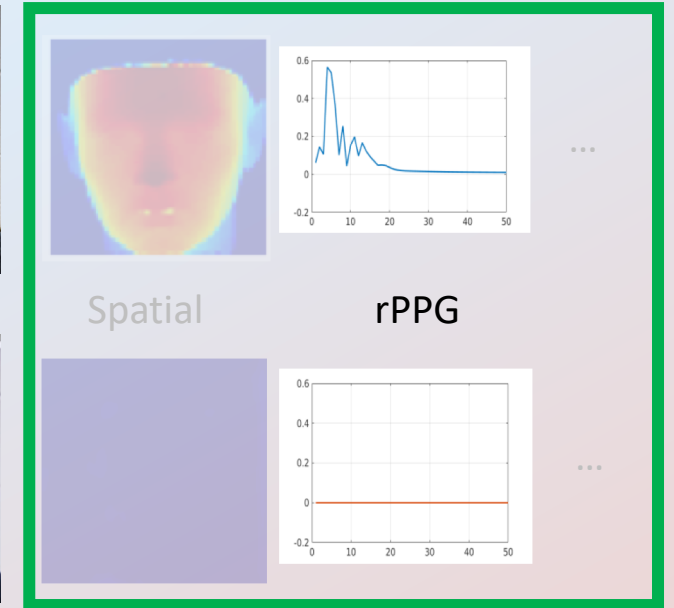
X Binary Supervision



Live



Spoof

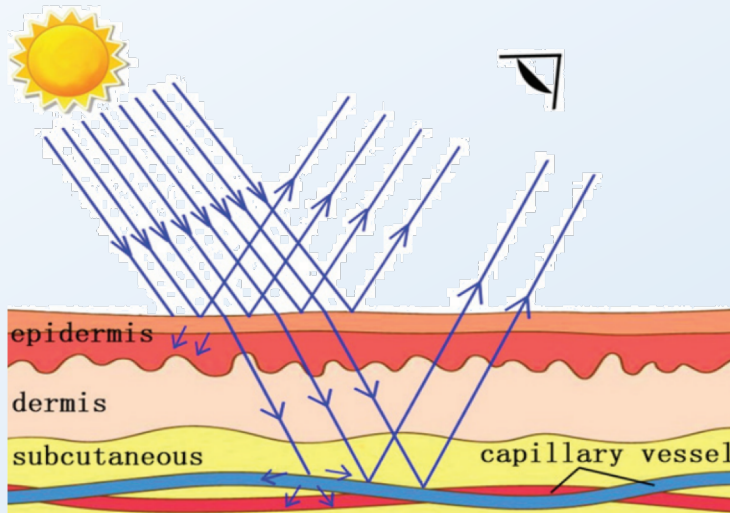


✓ Auxiliary Supervision

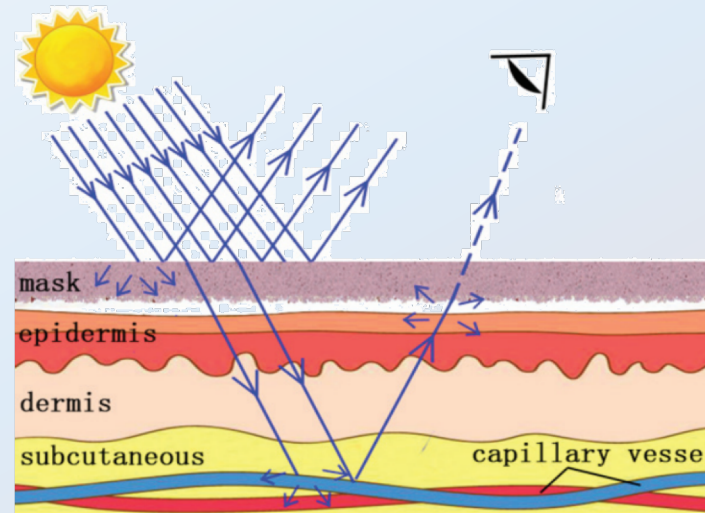


# What is rPPG?

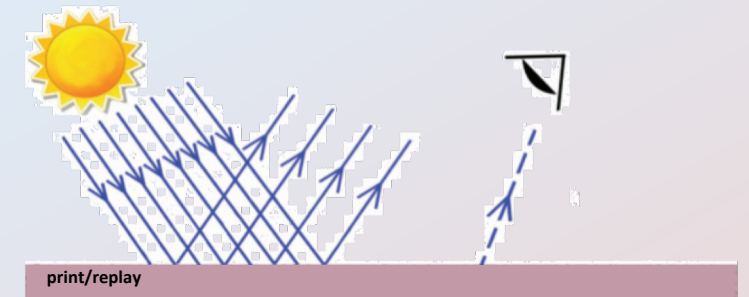
- Remote photoplethysmography: heart beat measurement from human skin using a non-contact camera



Live Face



3D Mask Spoof Face



Print/Replay Spoof Face

# Methods using rPPG

**3D Mask Face Anti-spoofing with Remote Photoplethysmography, ECCV 2016**

**Remote Photoplethysmography Correspondence Feature for 3D Mask Face Presentation Attack Detection, ECCV 2018**

**Learning deep models for face anti-spoofing: binary or auxiliary supervision. *CVPR 2018*.**



# Methods using rPPG

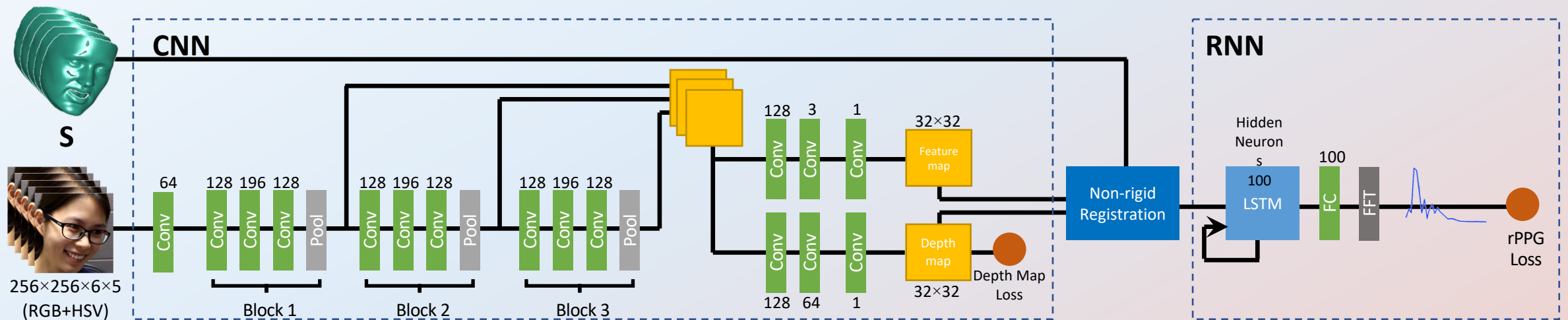
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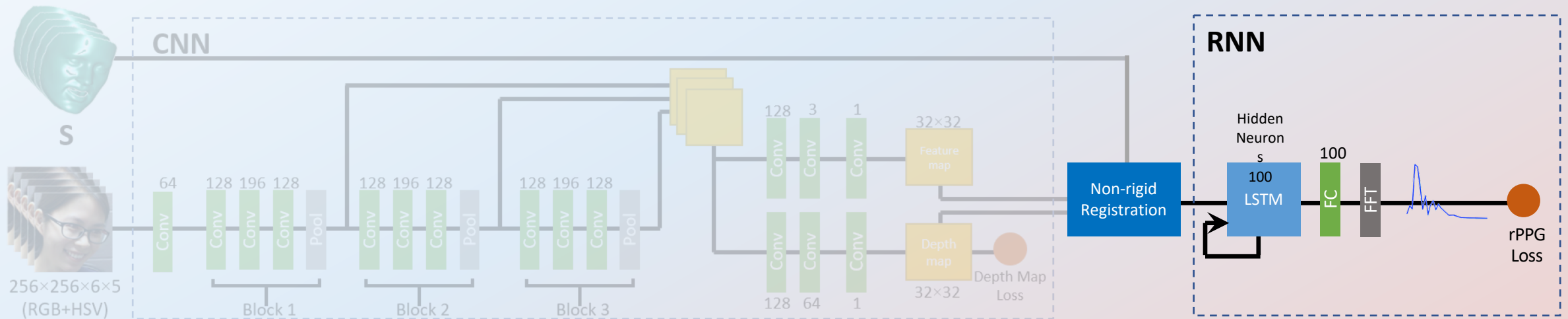
# Overall Architecture

- Spatial supervision: depth map
- Temporal supervision: rPPG signal



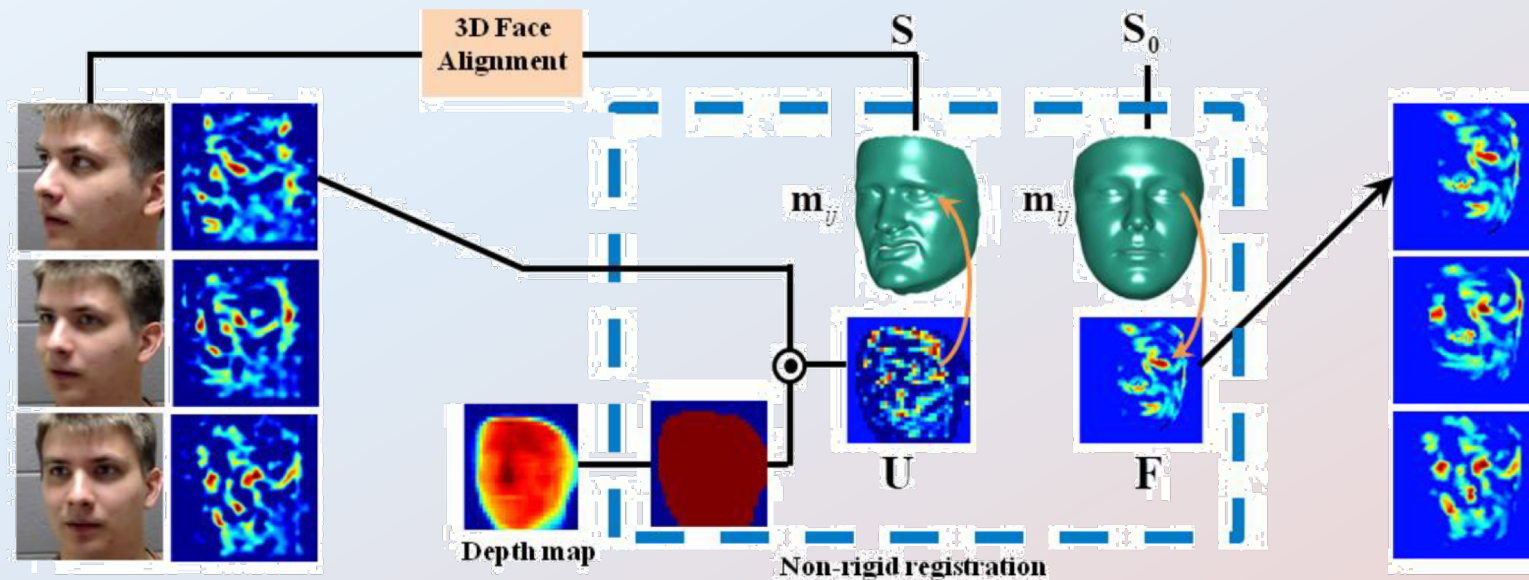
# Overall RNN Architecture

- CNN features as input
- Use non-rigid registration layer to align the features
- LSTM + FFT to predict rPPG



# Non-rigid Registration Layer

- Use 3D shape to compute offset
- Use offset to deform the features
- Differentiable



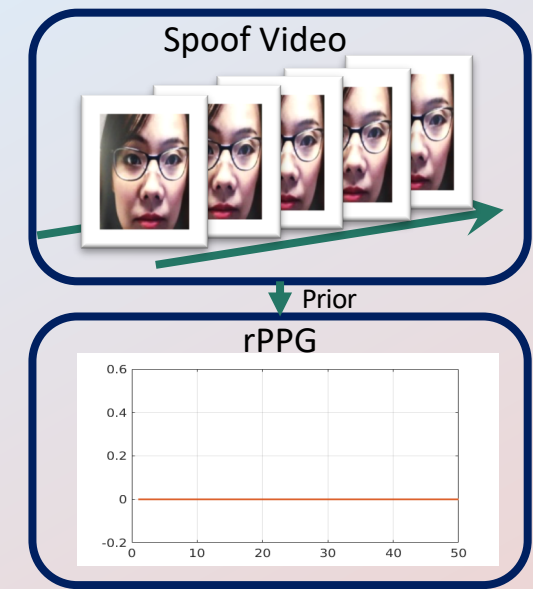
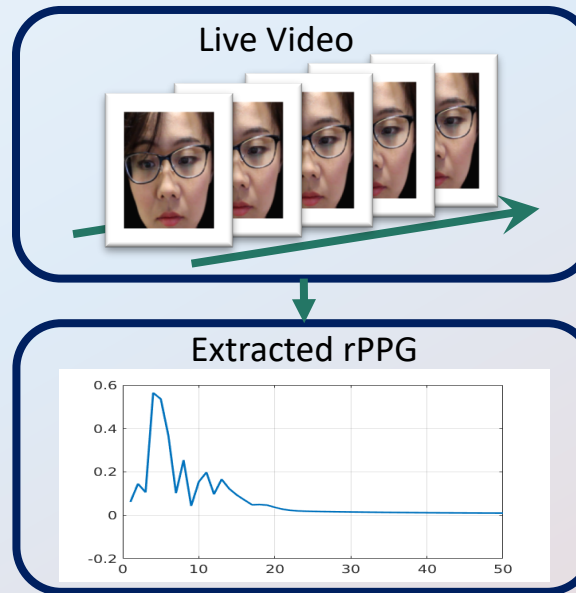
# How to Obtain rPPG Label?

- Live faces: from off-the-shelf method\*

$$S = c_1 R_n + c_2 G_n + c_3 B_n$$

$$C_{ni} = \frac{C_i}{\mu(C_i)}$$

- Spoof faces: Direct assignment as zero



# Oulu NPU Dataset

| Protocol | Method      | APCER           | BPCER           | ACER            |
|----------|-------------|-----------------|-----------------|-----------------|
| P1       | CPqD        | 2.9%            | 10.8%           | 6.9%            |
|          | GRADIANT    | <b>1.3%</b>     | 12.5%           | 6.9%            |
|          | Auxiliary   | 1.6%            | <b>1.6%</b>     | <b>1.6%</b>     |
| P2       | MixedFASNet | 9.7%            | 2.5%            | 6.1%            |
|          | Auxiliary   | <b>2.7%</b>     | 2.7%            | 2.7%            |
|          | GRADIANT    | 3.1%            | <b>1.9%</b>     | <b>2.5%</b>     |
| P3       | MixedFASNet | 5.3±6.7%        | 7.8±5.5%        | 6.5±4.6%        |
|          | GRADIANT    | <b>2.6±3.9%</b> | 5.0±5.3%        | 3.8±2.4%        |
|          | Auxiliary   | 2.7±1.3%        | <b>3.1±1.7%</b> | <b>2.9±1.5%</b> |
| P4       | Massy_HNU   | 35.8±35.3       | <b>8.3±4.1%</b> | 22.1±17.6%      |
|          | GRADIANT    | <b>5.0±4.5%</b> | 15.0±7.1%       | 10.0±5.0%       |
|          | Auxiliary   | 9.3±5.6%        | 10.4±6.0%       | <b>9.5±6.0%</b> |

# Oulu NPU Dataset

- Cross-test on CASIA and IDIAP (HTER)

| Method          | Train        | Test          | Train         | Test       |
|-----------------|--------------|---------------|---------------|------------|
|                 | CASIA MFSD   | Replay Attack | Replay Attack | CASIA MFSD |
| Motion          | 50.2%        |               | 47.9%         |            |
| LBP             | 55.9%        |               | 57.6%         |            |
| LBP-TOP         | 49.7%        |               | 60.6%         |            |
| Motion-Mag      | 50.1%        |               | 47.0%         |            |
| Spectral cubes  | 34.4%        |               | 50.0%         |            |
| CNN             | 48.5%        |               | 45.5%         |            |
| LBP             | 47.0%        |               | 39.6%         |            |
| Color Texture   | 30.3%        |               | 37.7%         |            |
| Proposed method | <b>27.6%</b> |               | <b>28.4%</b>  |            |



# Methods using Depth Map

Face anti-spoofing using patch and depth-based CNNs. IJCB 2017.

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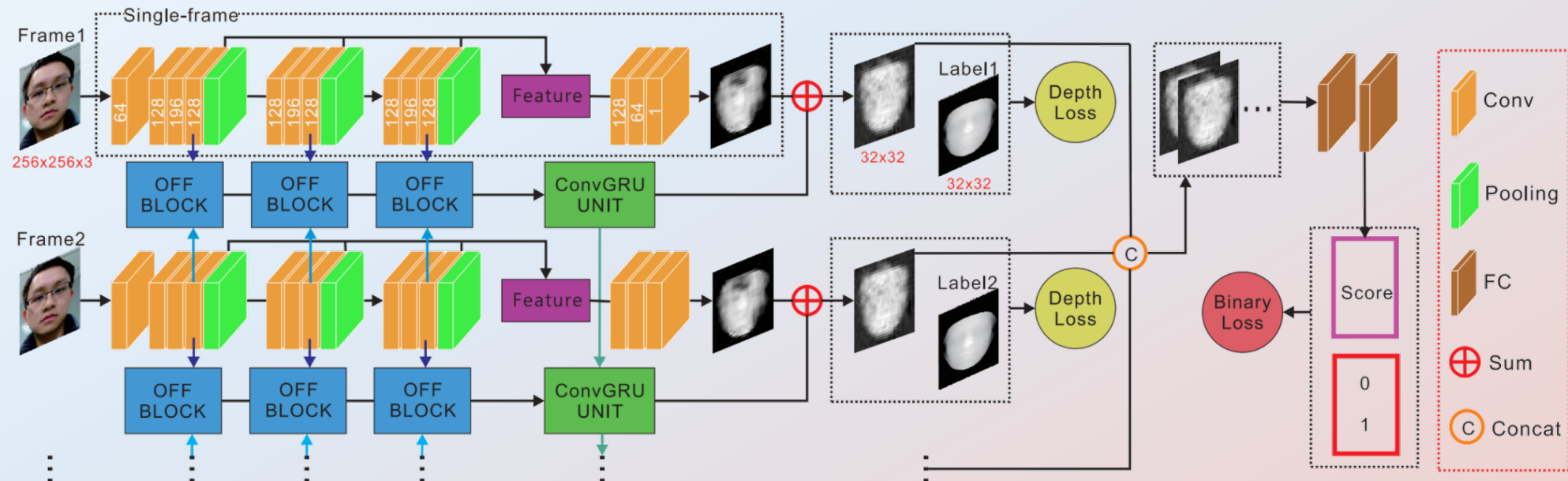
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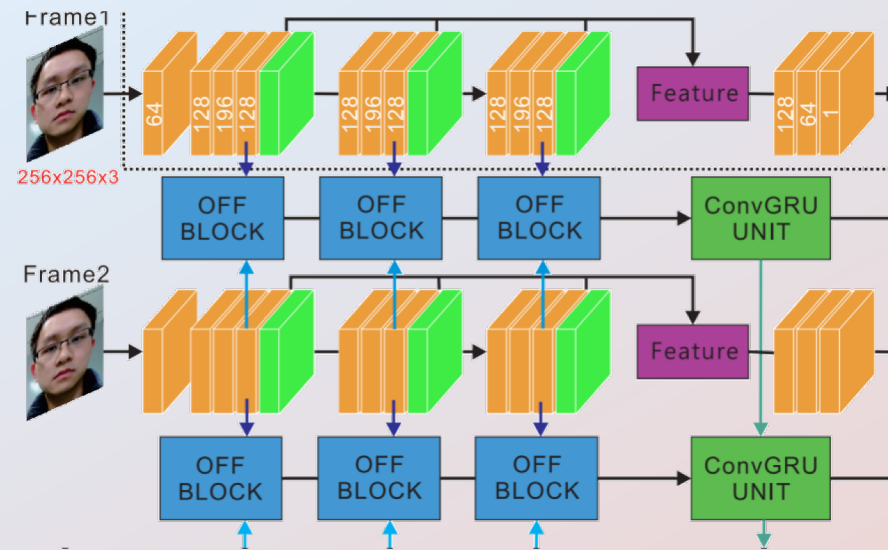
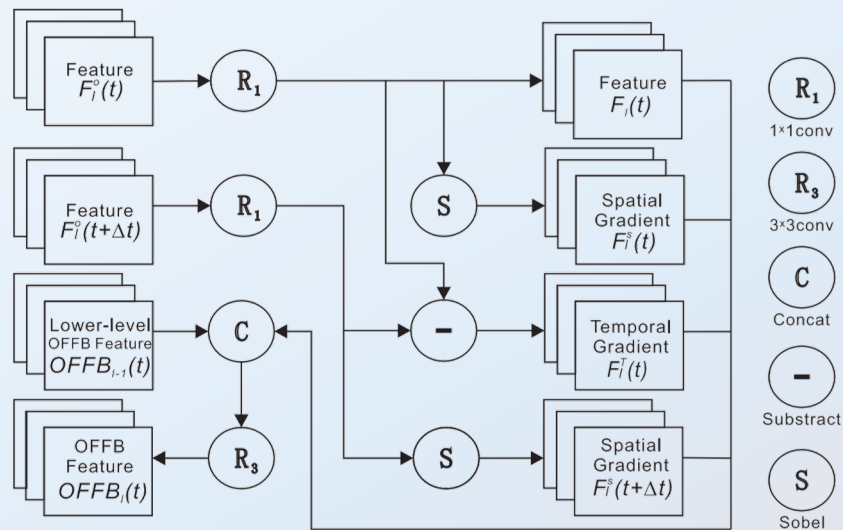
# Exploiting Temporal and Depth Information for Multi-frame Face Anti-spoofing

- Map single frame to depth map
- Introduce frame-to-frame motion to complete depth map
- Concat all maps to get a final score



# Temporal Blocks

- Short-term motion: OFF Block
- Long-term motion: multi-scale OFF feature to Conv Gated Recurrent Unit (GRU)

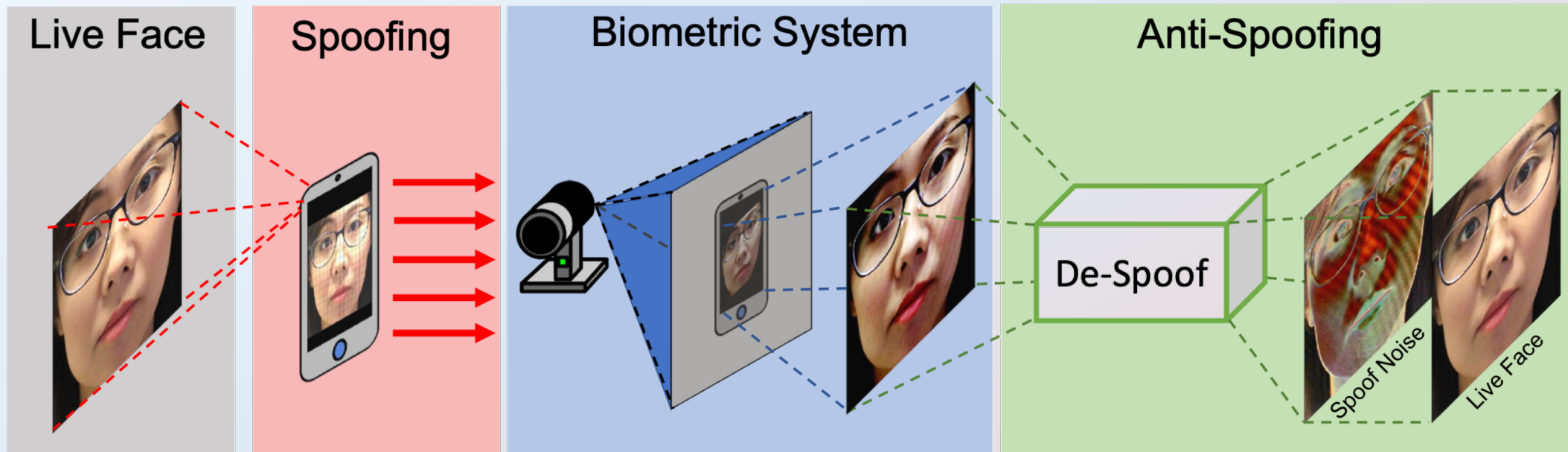


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- CNN methods with auxiliary supervisions
- **GAN-based noise modeling**
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# Motivations

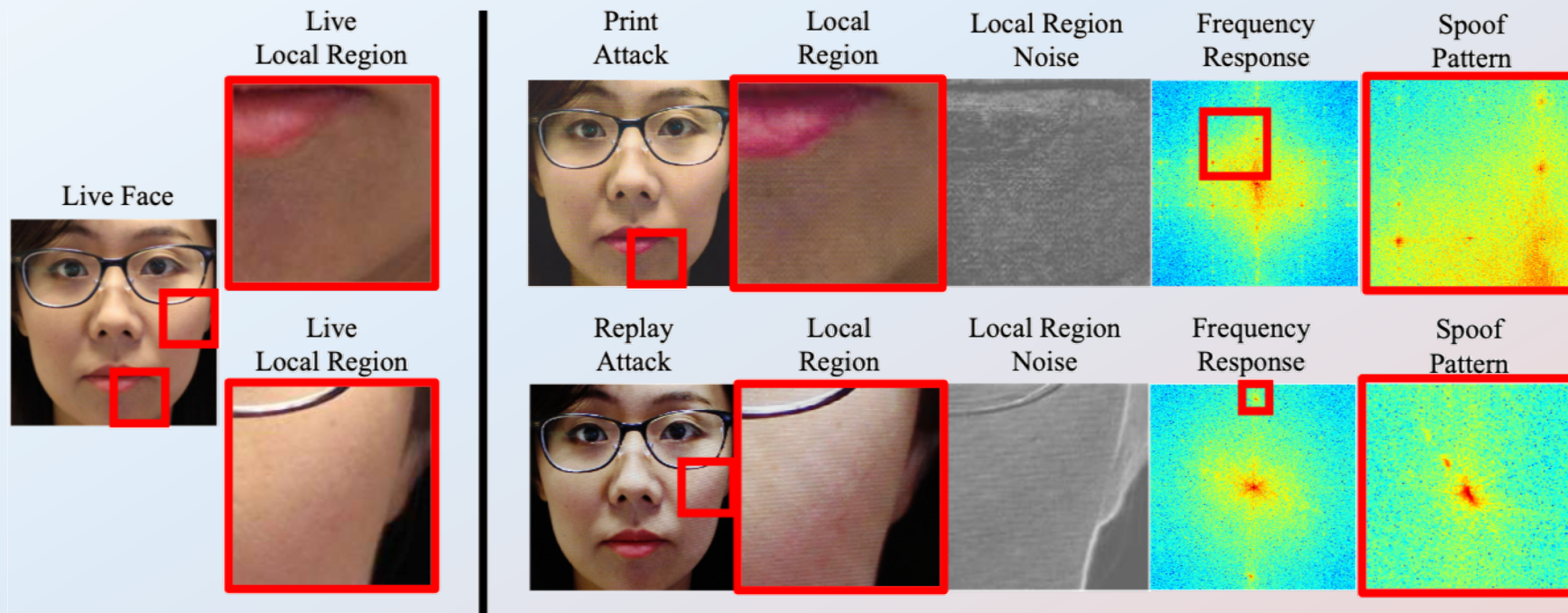
- Model the spoof patterns as noise
- De-spoofing: Decompose the spoof noise for face anti-spoofing.





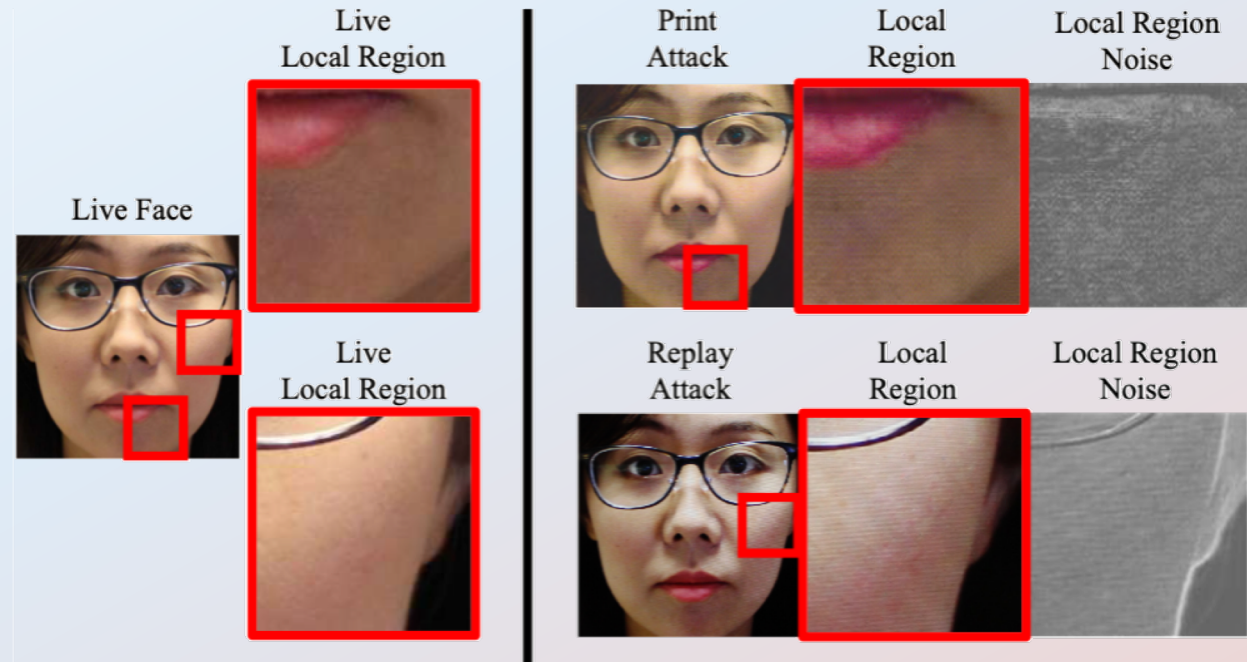
# A Case Study

- Careful alignment the spoof with the live
- Subtraction live from spoof
- FFT analysis



# The Cause of Spoof Noise Pattern?

- Color distortion
- Display artifacts
- Presenting artifacts
- Imaging artifacts

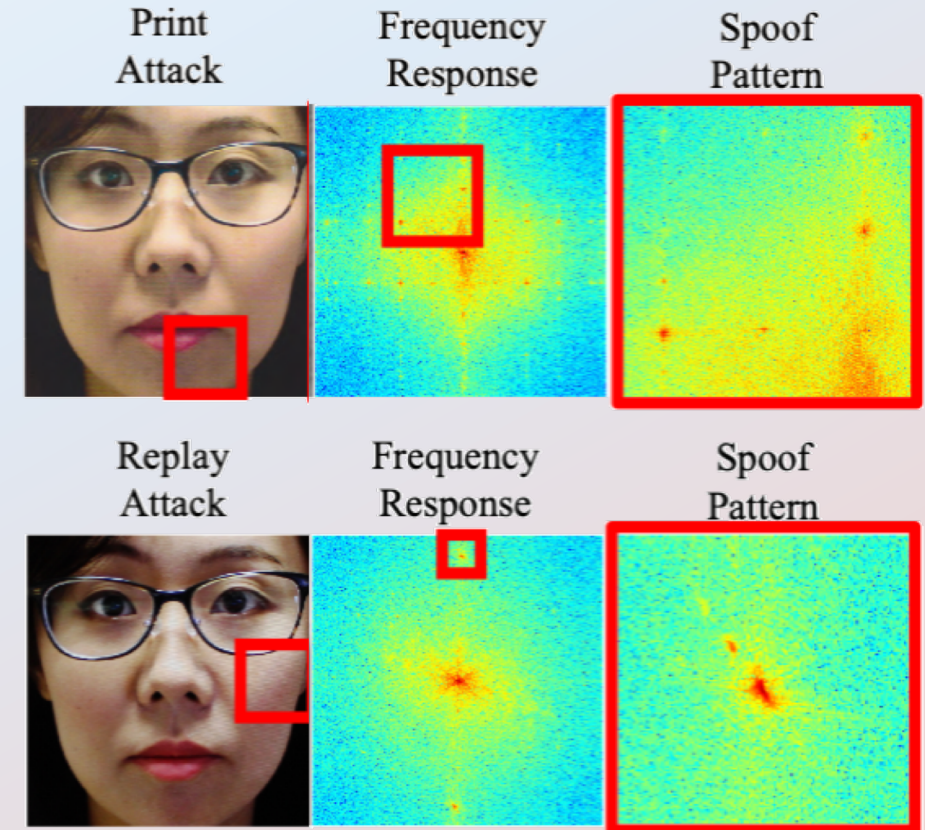




# Properties of the Spoof Noise Pattern

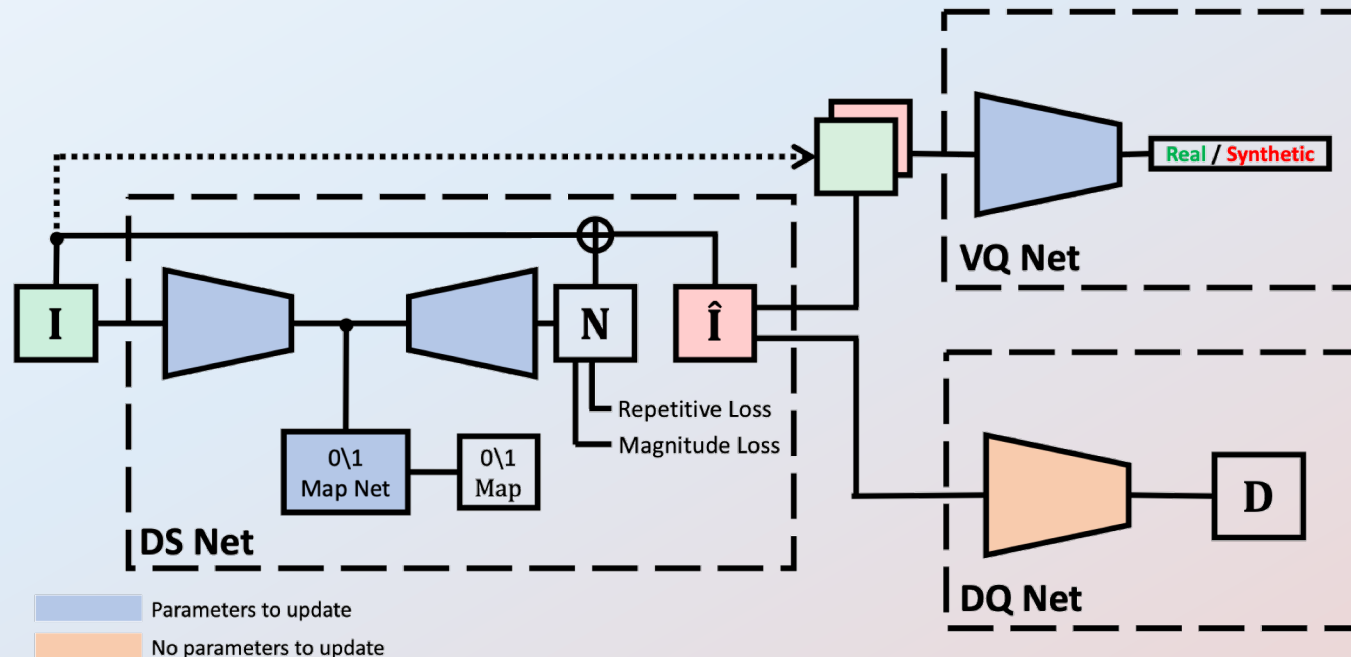
- Repetitive
- Ubiquitous

$$\mathbf{x} = \mathbf{A}\hat{\mathbf{x}} + \mathbf{n} = \hat{\mathbf{x}} + (\mathbf{A} - \mathbb{I})\hat{\mathbf{x}} + \mathbf{n} = \hat{\mathbf{x}} + N(\hat{\mathbf{x}})$$



# Overall Architecture

- **De-Spoofing Net:** estimate spoof noise pattern  $N$  and reconstruct live image  $I$
- **Discriminative Quality Net:** guarantee reconstructed  $I$  is live
- **Visual Quality Net:** guarantee reconstructed  $I$  is photorealistic



# Training

**De-Spoofing Net:** Estimate spoof noise pattern  $\mathbf{N}$  and reconstruct live image  $\mathbf{I}$

- Repetitive loss

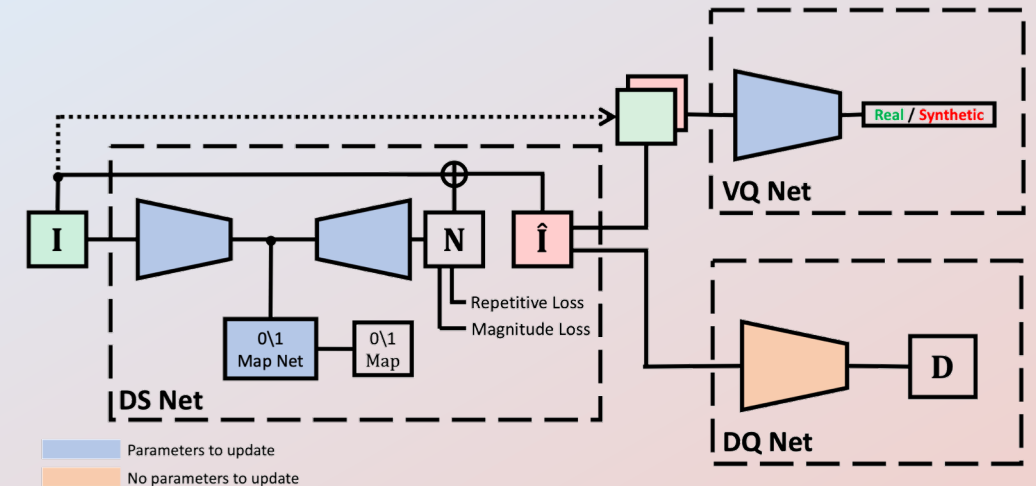
$$J_r = \begin{cases} -\max(H(\mathcal{F}(\mathbf{N}), k)), & \mathbf{I} \in Spoof \\ \|\max(H(\mathcal{F}(\mathbf{N}), k))\|_1, & \mathbf{I} \in Live \end{cases}$$

- Ubiquitous loss: zero/one map loss

$$J_z = \|\text{CNN}_{01map}(\mathbf{F}; \Theta) - \mathbf{M}\|_1$$

- Magnitude loss

$$J_m = \|\mathbf{N}\|_1, \text{ for live}$$

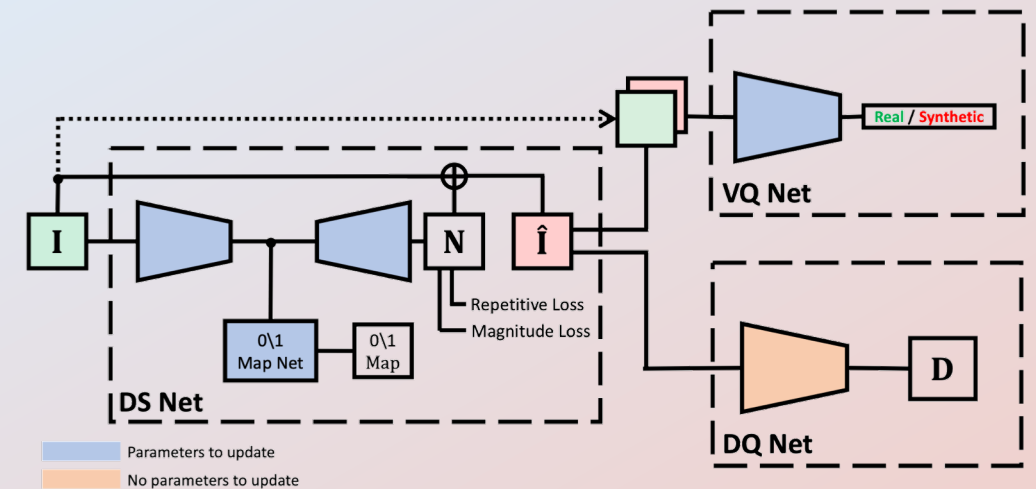


# Training

**Discriminative Quality Net:** guarantee reconstructed I is live

- Depth map loss

$$J_{DQ} = \left\| \text{CNN}_{DQ}(\hat{\mathbf{I}}) - \mathbf{D} \right\|_1$$

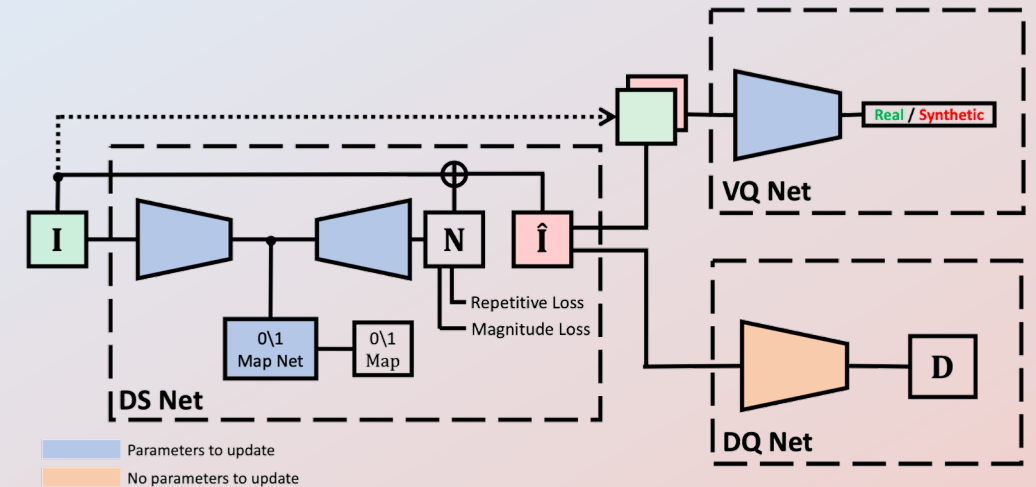


# Training

**Visual Quality Net:** guarantee reconstructed  $\mathbf{I}$  is photorealistic

- GAN loss

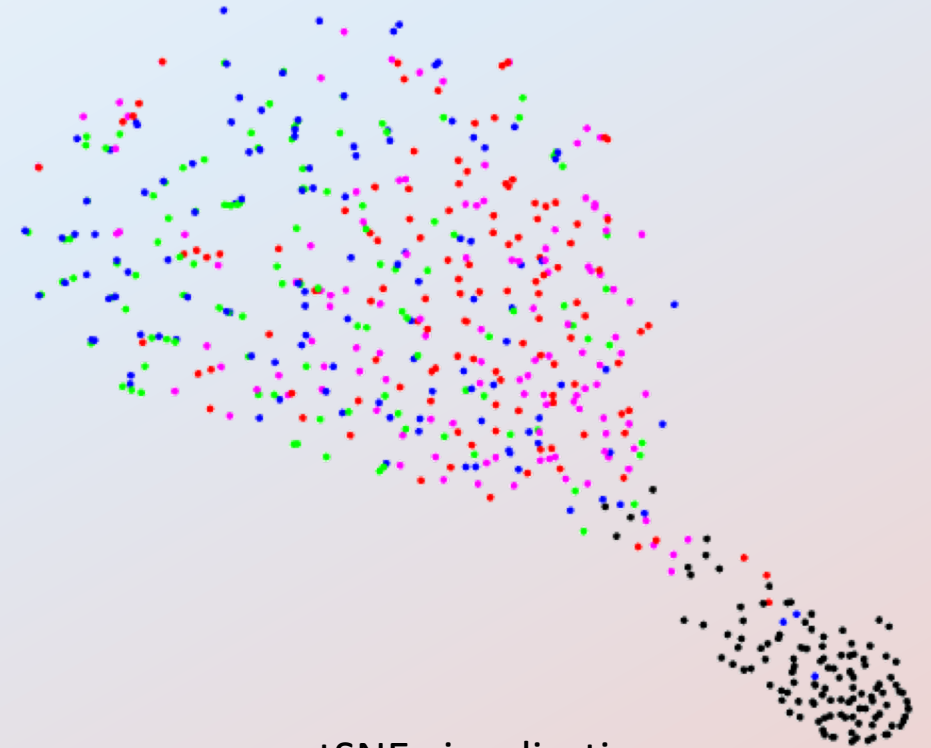
$$J_{VQ_{train}} = -\mathbb{E}_{\mathbf{I} \in \mathcal{R}} \log(\text{CNN}_{VQ}(\mathbf{I})) - \mathbb{E}_{\mathbf{I} \in \mathcal{S}} \log(1 - \text{CNN}_{VQ}(\text{CNN}_{DS}(\mathbf{I})))$$



# Noise Classification

| Predicted \ Actual | live | print | display |
|--------------------|------|-------|---------|
| live               | 59   | 1     | 0       |
| print              | 0    | 88    | 32      |
| display            | 13   | 8     | 99      |

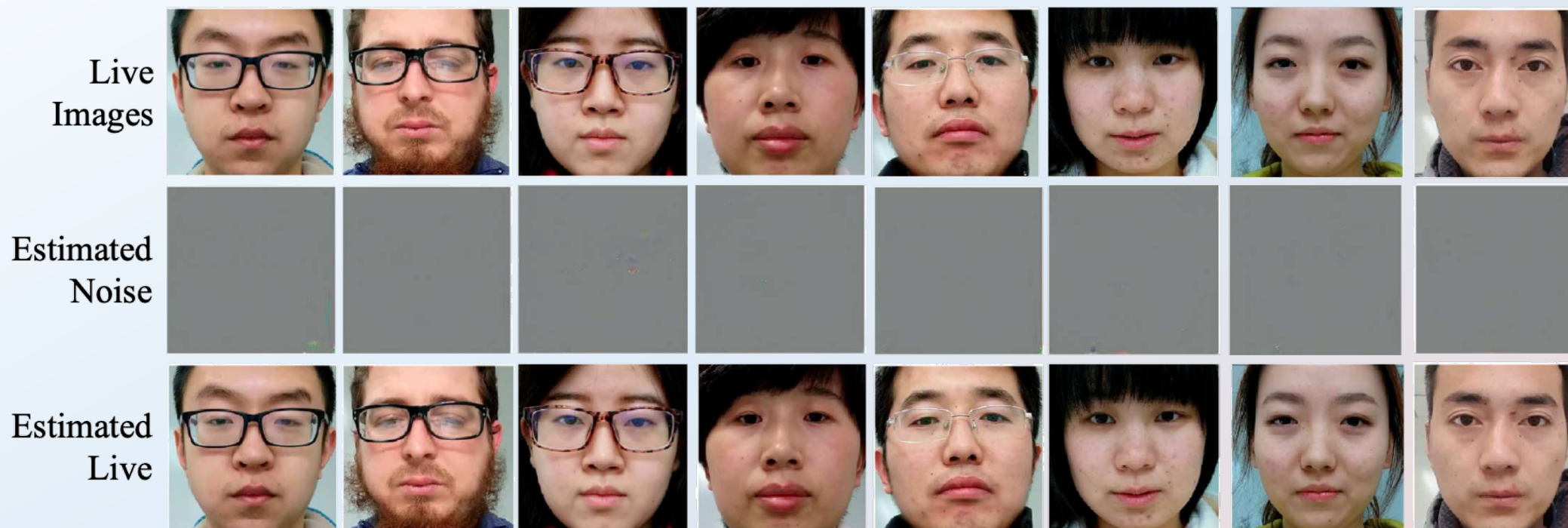
| Predicted \ Actual | live | print1 | print2 | display1 | display2 |
|--------------------|------|--------|--------|----------|----------|
| live               | 59   | 0      | 1      | 0        | 0        |
| print1             | 0    | 41     | 2      | 11       | 6        |
| print2             | 0    | 34     | 11     | 9        | 6        |
| display1           | 10   | 6      | 0      | 13       | 31       |
| display2           | 8    | 7      | 0      | 6        | 39       |



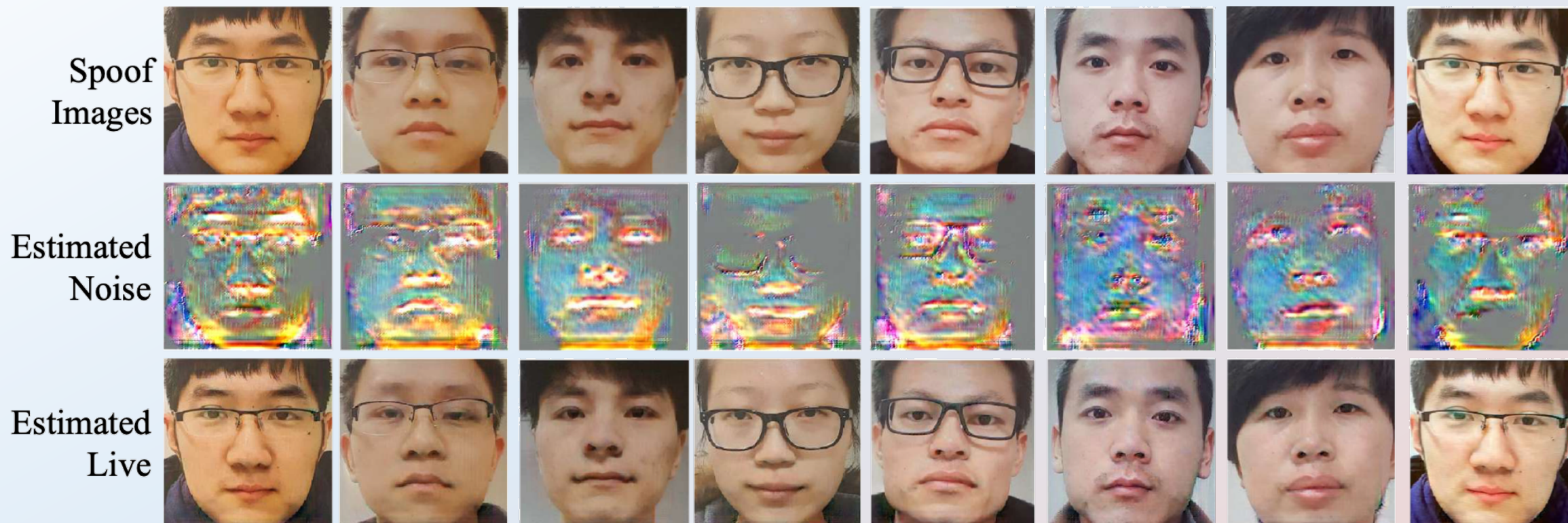
tSNE visualization



# Results of Live



# Results of Spoof



# Testing on Oulu

| Protocol | Method      | APCER                          | BPCER                          | ACER                           |
|----------|-------------|--------------------------------|--------------------------------|--------------------------------|
| P1       | CPqD        | 2.9%                           | 10.8%                          | 6.9%                           |
|          | GRADIANT    | 1.3%                           | 12.5%                          | 6.9%                           |
|          | Auxiliary   | 1.6%                           | <b>1.6%</b>                    | 1.6%                           |
|          | DS Net      | <b>1.2%</b>                    | 1.7%                           | <b>1.5%</b>                    |
| P2       | MixedFASNet | 9.7%                           | 2.5%                           | 6.1%                           |
|          | Auxiliary   | <b>2.7%</b>                    | 2.7%                           | 2.7%                           |
|          | GRADIANT    | 3.1%                           | <b>1.9%</b>                    | <b>2.5%</b>                    |
|          | DS Net      | 4.2%                           | 4.4%                           | 4.3%                           |
| P3       | MixedFASNet | 5.3 $\pm$ 6.7%                 | 7.8 $\pm$ 5.5%                 | 6.5 $\pm$ 4.6%                 |
|          | GRADIANT    | <b>2.6<math>\pm</math>3.9%</b> | 5.0 $\pm$ 5.3%                 | 3.8 $\pm$ 2.4%                 |
|          | Auxiliary   | 2.7 $\pm$ 1.3%                 | <b>3.1<math>\pm</math>1.7%</b> | <b>2.9<math>\pm</math>1.5%</b> |
|          | DS Net      | 4.0 $\pm$ 1.8%                 | 3.8 $\pm$ 1.2%                 | 3.6 $\pm$ 1.6%                 |
| P4       | Massy_HNU   | 35.8 $\pm$ 35.3                | 8.3 $\pm$ 4.1%                 | 22.1 $\pm$ 17.6%               |
|          | GRADIANT    | <b>5.0<math>\pm</math>4.5%</b> | 15.0 $\pm$ 7.1%                | 10.0 $\pm$ 5.0%                |
|          | Auxiliary   | 9.3 $\pm$ 5.6%                 | 10.4 $\pm$ 6.0%                | 9.5 $\pm$ 6.0%                 |
|          | DS Net      | 5.1 $\pm$ 6.3%                 | <b>6.1<math>\pm</math>5.0%</b> | <b>5.6<math>\pm</math>5.7%</b> |



# Outline

- Vanilla CNNs
- Patch-based CNN methods
- CNN methods with auxiliary supervisions
- GAN-based noise modeling
- **Data Augmentation**

# Data Augmentations

**Face Anti-Spoofing: Model Matters, So Does Data**, CVPR, 2019

**Presentation Attack Detection for Face in Mobile Phones**, Selfie Biometrics, 2019

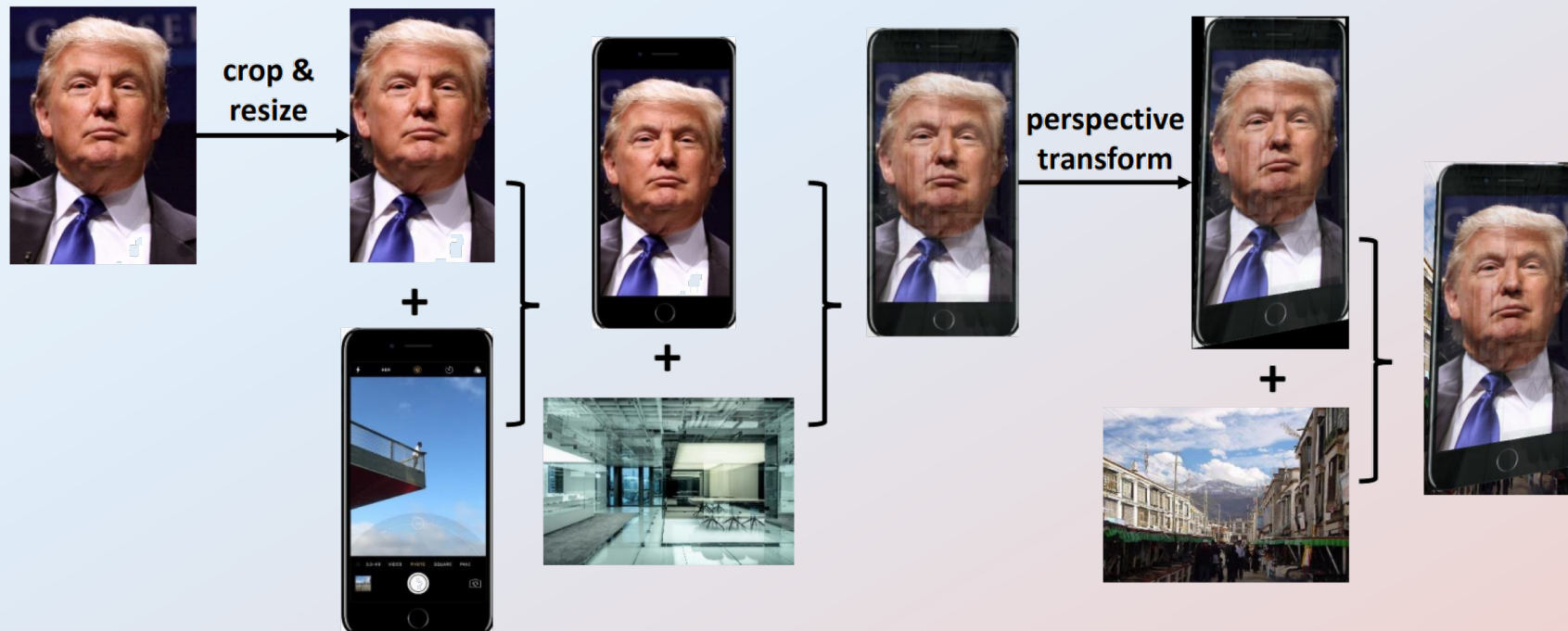
**Style Transfer Applied to Face Liveness Detection with User-Centered Models**, arXiv, 2019

**Improving Face Anti-Spoofing by 3D Virtual Synthesis**, arXiv, 2019

# Face Anti-Spoofing: Model Matters, So Does Data

## Synthesize

- Blurriness: random strength Gaussian blurring
- Reflection:  $\mathbf{X}'_r = (1 - \alpha) \mathbf{X}' + \alpha \mathbf{X}_r$
- Distortion: Perspective projection

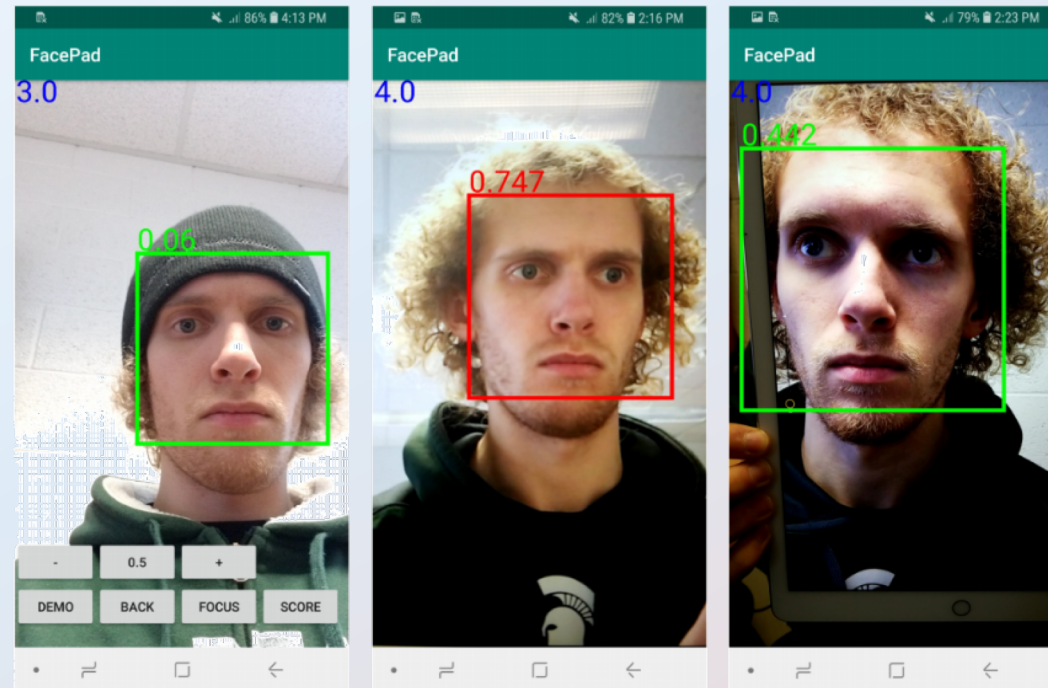




# Presentation Attack Detection for Face in Mobile Phones

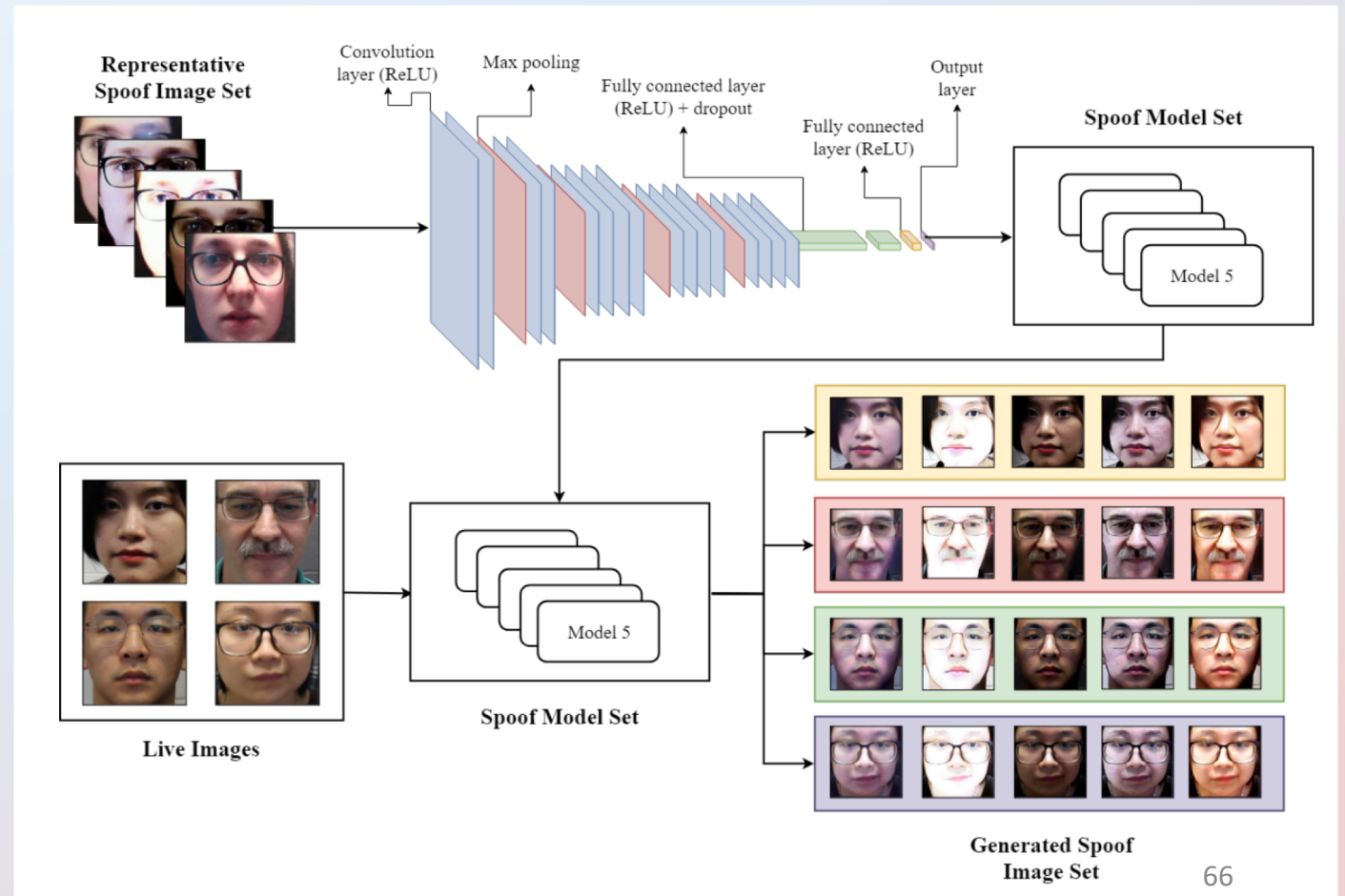
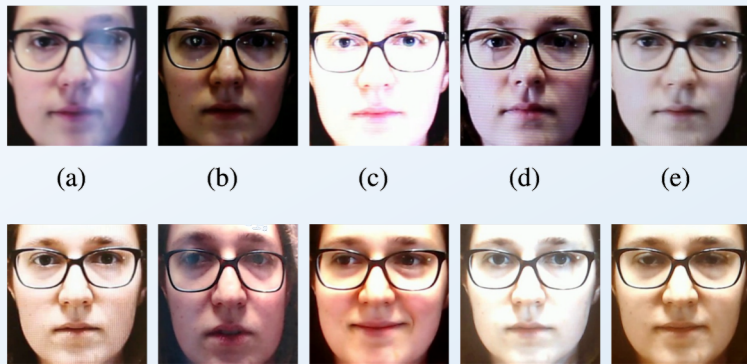
Random perturbation:

- Contrast
- Lightness



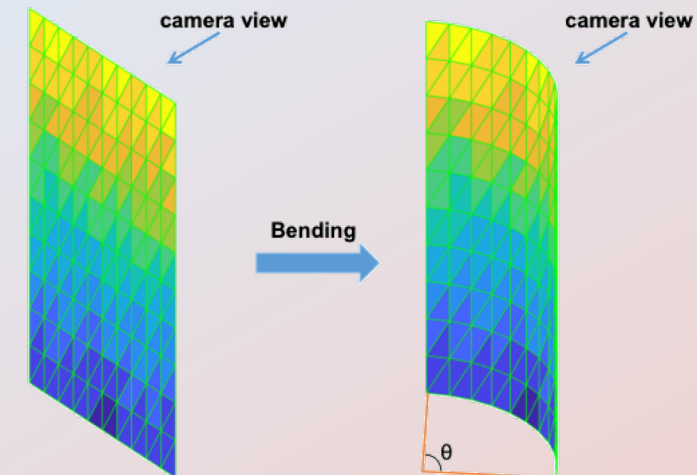
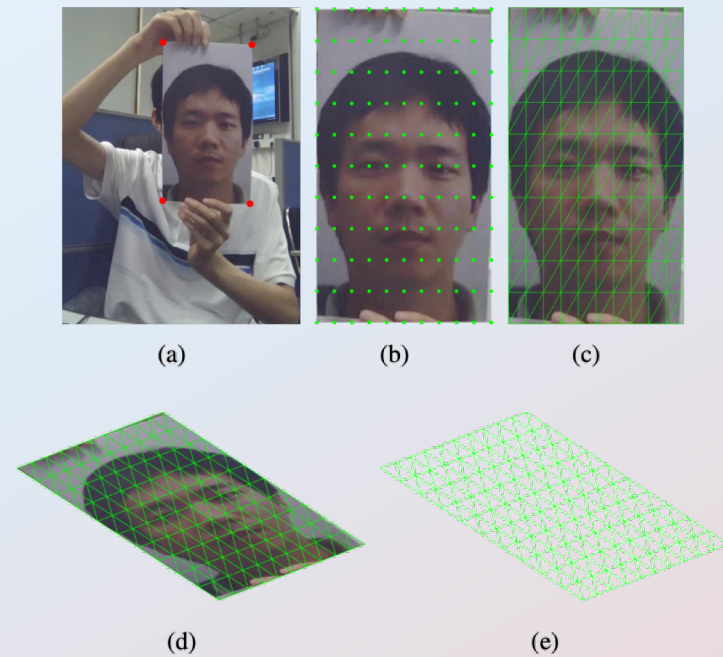
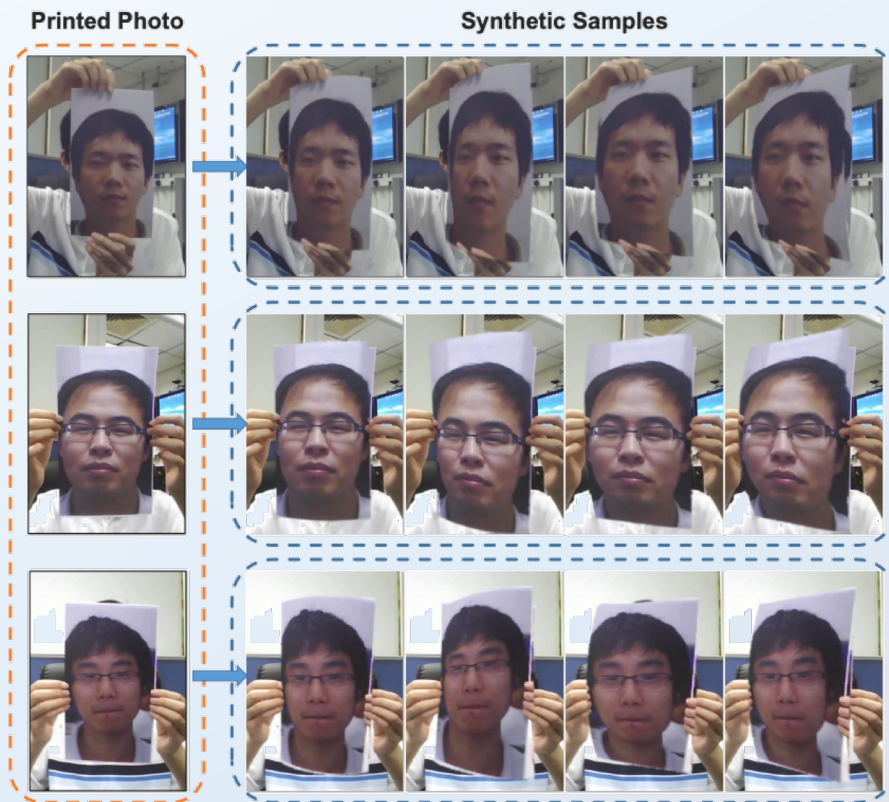
# Style Transfer

Use CNN for data augmentation



# 3D Synthesis

Use CNN to deform the face based on 3D shape



# Summary

- We review deep learning based methods
- Binary CNN may lead to overfitting
- Advanced design is required
  - Multiple input features
  - Auxiliary supervision
  - Noise modeling
  - Data augmentation



End of Session II

Q & A

End of Session II  
15 Minutes Break.



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Computer Vision Lab